



General Permit 3

U.S. ARMY CORPS OF ENGINEERS

BUILDING STRONG®

BOAT DOCKS AND ASSOCIATED DECKS AND ACCESS

EFFECTIVE DATE: TBD

EXPIRATION DATE: TBD

NOTE: The term "you" and its derivatives, as used in this permit, means the permittee. The term "this office" refers to the U.S. Army Corps of Engineers, Sacramento District.

ISSUING OFFICE: U.S. Army Corps of Engineers, Sacramento District

ACTION ID: SPK-1997-00732

AUTHORITY: Section 10 of the Rivers and Harbors Act of 1899 (33 USC 403) for structures or work in or affecting navigable waters of the United States.

PURPOSE: The purpose of this RGP is to implement an expedited permit application and review process for the installation, repair, modification, replacement, or removal of pile supported docks and floating docks, and their associated decks, access stairways, gangways and ramps that require a Department of the Army (DA) Permit prior to installation in navigable waters of the United States (U.S.). These activities have minimal impacts on the aquatic environment, individually and cumulatively.

LOCATION: All navigable waters of the United States located within the Sacramento District boundary of the states of California, Colorado, Nevada, and Utah, unless otherwise an excluded area.

EXCLUDED AREAS: The use of this RGP is not authorized for the construction of a new dock or the enlargement of an existing dock on Bethel Island, in Contra Costa County, California. The reconfiguration of a dock which results in a dock with the same or less square feet in size and which extends from the OHWM the same or less distance is not considered an enlargement and could be authorized by this RGP.

ACTIVITIES COVERED: This RGP authorizes the installation, repair, modification, replacement, or removal, of pile supported or floating boat docks and their associated decks and access (e.g. gangway, ramp, stairs, etc.) within navigable waters of the U.S. subject to Section 10 of the Rivers and Harbors Act of 1899.

TERMS:

1. The area of the boat dock and associated deck and access, combined, does not exceed 1,800 square feet from the plane of the ordinary high water mark (OHWM) for non-tidal waters or mean high water mark (MHWM) for tidal waters. Configuration of

the dock may vary in plan (i.e. straight, T-shaped, U-shaped, L-shaped, etc.) provided the total length of all segments and components does not exceed 180 feet and the surface area of the dock and associated deck and access does not exceed 1,800 square feet below the plane of the ordinary high water mark (OHWM) for non-tidal waters or mean high water mark (MHWM) for tidal waters. (Note: This limitation does not include those areas of open water used to moor a boat).

2. . The width of the dock does not exceed 10 feet.
3. As measured from the plane of the ordinary high water mark (OHWM) for non-tidal waters or mean high water mark (MHWM) for tidal waters, the dock and associated deck and access may not extend:
 - a. more than 180-feet; or
 - b. 30% of the distance across the water way, whichever is more restrictive;
4. The footprint of the dock and associated deck and access provide a minimum of 5-feet of clearance from the imaginary lines extending from the adjacent property boundaries.
5. A deck is defined as the portion of the dock with is greater than 10 feet wide. A deck associated with a dock is allowable, provided that the area of the deck does not exceed 240 square feet below the plane of the ordinary high water mark (OHWM) for non-tidal waters or mean high water mark (MHWM) for tidal waters.
6. Only one dock is allowed per parcel.
7. The following activities are prohibited under this RGP:
 - a. The construction or placement of enclosed buildings, boathouses, fuel storage tanks, fuel dispensing facilities, sinks toilets, showers or sanitary pump out facilities.
 - b. The conversion of the boat dock into a recreation room, residence, or dwelling.
 - c. The permanent mooring of a recreation room, floating residence, or dwelling.

GENERAL CONDITIONS:

1. **Notification:** You shall submit a pre-construction notification to this office prior to commencement of construction activities in waters of the U.S. requiring a permit. The PCN shall contain the information identified in the "Pre-Construction Notification Procedures" section below. You shall not commence construction activities in waters of the U.S. requiring a permit until you have received written notification from this office that the proposed activity is authorized under RGP 3.
2. **Special Conditions:** You shall comply with all special conditions that are placed on

verifications under this RGP to ensure the authorized activity has minimal impacts on the aquatic environment.

3. Navigation:

a. Your use of the boat dock and associated access must not interfere with the public's right to free navigation on all navigable waters of the United States, and the installed boat dock and associated deck and access may not cause more than a minimal adverse effect on navigation.

b. Any safety lights and signals prescribed by the U.S. Coast Guard, through regulations or otherwise, must be installed and maintained at your expense on authorized facilities in navigable waters of the United States.

c. You understand and agree that, if future operations by the United States require the removal, relocation, or other alteration of the structure or work herein authorized, or if, in the opinion of the Secretary of the Army or his authorized representative, said structure or work shall cause unreasonable obstruction to the free navigation of the navigable waters, you will be required, upon due notice from the Corps, to remove, relocate, or alter the structural work or obstructions caused hereby, without expense to the United States. No claim shall be made against the United States on account of any such removal or alteration

4. Endangered Species and Essential Fish Habitat: No activity is authorized under this RGP that is likely to jeopardize the continued existence of a threatened and/or endangered species, or a species proposed for such designation, as identified under the Federal ESA, or which will directly or indirectly destroy or adversely modify the critical habitat of such species. In addition, no work may occur that may affect a threatened and/or endangered species, or that may adversely affect essential fish habitat (EFH), until this office has completed consultations under Section 7 of the ESA and Section 305(b) of the Magnuson-Stevens Fishery Conservation and Management Act. Information regarding the effects of the project on Federally listed threatened and/or endangered species, critical habitat and EFH shall be submitted with the PCN required for this RGP.

5. Cultural Resources: No activity is authorized that may affect cultural resources listed on, determined to be eligible for listing on, or potentially eligible for listing on the National Register of Historic Places, until the requirements of Section 106 of the National Historic Preservation Act (NHPA) have been satisfied.

6. Anchoring and Damage from High Water Events:

a. You shall ensure that the boat dock and associated access are properly anchored utilizing systems designed to withstand anticipated high flows.

b. Permanent or temporary anchoring to riparian vegetation is not authorized by this permit.

c. The issuance of this permit does not relieve you from taking all necessary steps to ensure the integrity of boat dock and associated access and the safety of boats moored thereto, from damage by wave wash or high water events, and you shall not hold the United States liable for any such damages.

7. Maintenance: You shall maintain the dock and associated deck and access authorized by this RGP in good condition and in conformance with the terms and conditions of this RGP. You are not relieved of this requirement if you abandon the boat dock and/or associated access, although you may make a good faith transfer to a third party. Should you wish to cease to maintain the authorized structure or desire to abandon it without a good faith transfer, you shall request a permit from this office, which may require removal of the dock, associated deck and access and site restoration. Maintenance may require additional Department of the Army (DA) authorization prior to proceeding with the maintenance work.

8. Discovery of Previously Unknown Remains and Artifacts: If you discover any previously unknown historical or archeological remains during installation of the structure authorized by this permit, you shall immediately cease work and notify this office of what was found. The Corps will initiate the Federal and state coordination required to determine if the remains warrant a recovery effort or if the site is eligible for listing in the National Register of Historic Places.

9. Aquatic Life Movements. No activity may substantially disrupt the necessary life cycle movements of aquatic wildlife indigenous to the waterbody, including those species that migrate through the area. For boat docks and/or associated access, you shall ensure that the PCN contains information on how impacts to aquatic life movements will be avoided and/or minimized.

10. Spawning Areas. You shall avoid activities in spawning areas during spawning seasons to the maximum extent practicable. For boat docks and/or associated access located within spawning areas, you shall ensure that the PCN contains information on how spawning areas will be avoided and/or how impacts to spawning areas will be minimized.

11. Suitable Material. You shall install the boat dock and associated access using suitable materials, and shall ensure that materials used are free from pollutants in toxic amounts (see Section 307 of the Clean Water Act). You shall not use any material coated with creosote and any chemically treated material shall be coated with an impact-resistant, biologically inert substance. Decking material shall be made of metal grating, plastic, or non-reactive (e.g. epoxy wood) product, and flotation devices shall be of materials that will not disintegrate, such as plastic or closed cell foam encapsulated sun-resistant polyethylene.

12. Tribal Rights: You shall ensure that the boat dock or associated access does not

impair reserved tribal rights, including, but not limited to, reserved water rights and treaty fishing and hunting rights.

13. Contractor Compliance: You are responsible for all authorized work and ensuring that all contractors and workers are made aware of and adhere to the terms and conditions of the permit authorization. You shall ensure that a copy of the permit authorization and associated drawings are available and visible for quick reference at the site until all installation activities are completed.

14. Notification of Start and Complete Dates: You shall notify this office of the start date for the authorized work within 10 days prior to beginning work within navigable waters of the U.S. and of the completion date for the authorized work within 30 days following completion of work within navigable waters of the U.S.

15. Inspections: You shall allow representatives from this office to inspect the authorized structure and any mitigation areas at any time deemed necessary to determine compliance with the terms and conditions of the RGP verification. You will be notified by this office in advance of an inspection.

16. Compliance Certification: Within 30 days following installation of the boat dock and associated access, you shall submit a signed copy of the Compliance Certificate located in Attachment C, regarding the completed work and any required mitigation. The certification shall also contain:

a. As-built drawings of the boat dock and/or associated access installed, which shall include a plan view drawing of the location of the authorized work, with an overlay of the work conducted in the same scale.

b. Numbered and dated post-construction color photographs of the installed dock, as well as the access areas. The photographs shall be similar to the pre-construction photographs required in the PCN, and shall be identified in the plan-view drawing required in subpart c of this condition.

PRE-CONSTRUCTION NOTIFICATION PROCEDURES:

1. You must submit a completed and signed *Sacramento District Regional General Permit 3 Pre-Construction Notification Checklist* (PCN Checklist), located in Attachment A, prior to beginning any work in the navigable water. The PCN shall be submitted to the appropriate office identified in the *Contacts and Additional Information* section below. If you need assistance in completing any portion of the PCN Checklist, please contact the appropriate office listed in *Contacts and Additional Information* on page 10. The PCN Checklist shall include the following information:

a. Name, address and telephone number(s) of the prospective permittee and authorized agent, if applicable;

b. Signature of the authorization of an agent, if applicable;

c. Location of the proposed project, which may include street address, assessor's parcel number, latitude and longitude, section, township, range, municipality, and county, as well as directions to the site.

d. A description of the proposed boat dock and associated deck and access, including the materials to be used, the date(s) work would occur within navigable waters, and methods and equipment proposed to be used for the installation. If the proposed activities would involve the installation of pilings, the description shall specifically include a description of the size of the pilings, method of the installation (e.g. drop hammer or vibratory hammer), the length of time required for the installation, and the proposed date(s) of the installation;

e. A vicinity map, plan-view and cross-section drawings clearly depicting the location, size and dimensions of the proposed dock and associated deck and access, as well as the location of the OHWM or MHWM of the navigable water. The drawings shall contain a title block, legend and scale, nearby structures, parcel boundaries, and dimensions of the proposed dock and associated deck and access. All drawings shall comply with the *Final Map and Drawing Standards for the South Pacific Division Regulatory Program*, which can be found at <http://www.spd.usace.army.mil/Portals/13/docs/regulatory/standards/map.pdf>.

f. Pre-project color photographs of the proposed dock location, the shoreline where the dock would be accessed, and the areas upstream, downstream, and across the channel from of the proposed dock location. The location of the photographs shall be identified on the plan view drawing required in subpart (e) of this condition;

g. A description of measures proposed to be taken to avoid and minimize to the maximum extent practicable, impacts to the aquatic environment, including those to wetlands, Federally-listed threatened and/or endangered species, spawning habitat, and shallow water habitat.

h. Information regarding how your proposed boat dock and associated deck and access will not interfere with the public's right to free navigation on all navigable waters of the United States. The information provided must include a description of the nearest docks or other structures, how far they are from the proposed dock, and how far they extend into the water from the plane of the ordinary high water mark (OHWM) for non-tidal waters or mean high water mark (MHWM) for tidal waters.

i. A description of impacts from the proposed boat dock, associated deck and access on Federally-listed threatened and/or endangered species and essential fish habitat (EFH). Potential Federally listed threatened and/or endangered species, and/or their critical habitat that may be affected by boat docks and/or associated access include, but are not limited to: Delta smelt (*Hypomesus transpacificus*), giant garter snake (*Thamnophis gigas*), yellow-billed Cuckoo (*Coccyzus americanus*), Valley elderberry longhorn beetle (*Desmocerus californicus dimorphus*), Central Valley spring-run Chinook salmon (*Oncorhynchus tshawytscha*), Sacramento River winter-run

Chinook salmon (*O. tshawytscha*), Central Valley steelhead (*O. mykiss*), green sturgeon (*Acipenser medirostris*), and Lahontan cutthroat trout (*Oncorhynchus clarkii henshawi*). In addition, some waters may contain EFH for Chinook salmon. Information on the location of threatened and/or endangered species and their critical habitat, and EFH within the Sacramento District can be obtained directly from the U.S. Fish and Wildlife Service (USFWS) Environmental Conservation Online System (<https://ecos.fws.gov/ecp>) and the West Coast Regional Office of the National Marine Fisheries Service (NMFS) (<http://www.westcoast.fisheries.noaa.gov/>). This information must include the following:

- (1) A description of the action to be considered;
 - (2) A description of the specific area that may be affected by the action (generally would include the footprint of the proposed boat dock and associated deck and access and construction access and staging areas) ;
 - (3) A description of any listed species or critical habitat that may be affected by the action (see above for the potential species that may be affected by boat dock and associated deck and access);
 - (4) A description of the manner in which the action may affect any listed species or critical habitat and an analysis of any cumulative impacts (identify the impacts that would occur to species. Examples include sound effects from pile driving and shading from docks. Also include as any proposed compensatory mitigation;
 - (5) Relevant reports including any environmental impact statement, environmental assessment, or biological assessment prepared; and
 - (6) Any other relevant available information on the action, the listed species, or critical habitat (this may include previous consultations conducted or completed).
- j. A description of any known historic properties which may be affected by the proposed work, include a vicinity map indicating the location of historic resources, and identifying the potential for the presence of historic resources. If it is determined by this office that the project may affect cultural resources, you may be required to submit a cultural resources report, prepared in accordance with the Sacramento Districts *Guidelines for Compliance with Section 106 of the National Historic Preservation Act* (<https://www.spk.usace.army.mil/Missions/Regulatory/Permitting/Cultural-Resources-Agency-Consultation/>)
- k. A description of how the activities will comply with each of the permit conditions of this RGP (see the RGP 3 Checklist located at the end of the PCN checklist found in Attachment A);
- l. For an activity that requires permission from the Corps pursuant to 33 U.S.C. 408 because it will alter or temporarily or permanently occupy or use a U.S. Army Corps of Engineers (USACE) federally authorized civil works project, the pre-construction

notification must include a statement confirming that you have submitted a written request for Section 408 permission from the Corps office having jurisdiction over that USACE project.

2. Within 30-days following receipt of the PCN form, this office will notify you via letter or email if:
 - a. The project may qualify for authorization under this RGP;
 - b. The PCN is complete; and
 - c. If consultation under Section 7 of the Endangered Species Act (ESA) and/or Section 106 of the National Historic Preservation Act (NHPA) is required.

If the PCN is not complete, the notification will specifically identify the additional information required to be submitted.

If the project does not qualify for authorization under this RGP, the notification will specifically identify the information necessary to review your application as an Individual Permit.

3. Within 30-days following receipt of a complete PCN form, this office will initiate any required consultations under Section 7 of the ESA and/or Section 106 of the NHPA.
4. Within 30-days following completion of required consultations under Section 7 of the ESA and/or Section 106 of the NHPA, or, if consultation is not required, within 45-days following receipt of a complete PCN, this office will notify you via letter if the project is authorized under this RGP, subject to the terms and conditions.

FURTHER INFORMATION:

1. Congressional Authorities: This RGP has been issued under the authority of Section 10 of the Rivers and Harbors Act of 1899 (33 U.S.C. 403), and/or Section 404 of the Clean Water Act (33 U.S.C. 1344), and/or Section 103 of the Marine Protection, Research and Sanctuaries Act of 1972 (33 U.S.C. 1413).
2. District Engineers have the authority to determine if an activity complies with the terms and conditions of this RGP.
3. This RGP does not obviate the need to obtain other Federal, state, or local permits, approvals, or authorizations required by law.
4. This RGP does not grant any property rights or exclusive privileges.
5. This RGP does not authorize any injury to the property or rights of others.

6. This RGP does not authorize interference with any existing or proposed Federal project.
7. Limits of Federal Liability. In issuing this RGP, the Federal Government does not assume any liability for the following:
 - a. Damages to the permitted project or uses thereof as a result of other permitted or unpermitted activities or from natural causes.
 - b. Damages to the permitted project or uses thereof as a result of current or future activities undertaken by or on behalf of the United States in the public interest.
 - c. Damages to persons, property, or to other permitted or unpermitted activities or structures caused by the activity authorized by this permit.
 - d. Design or construction deficiencies associated with the permitted work.
 - e. Damage claims associated with any future modification, suspension, or revocation of this permit.
8. Reevaluation of Permit Decision. This office may reevaluate its decision on this RGP/PGP at any time the circumstances warrant. Such a reevaluation may result in a determination that it is appropriate to use the suspension, modification, and revocation procedures contained in 33 CFR 325.7.
9. Activities not meeting the terms and conditions of this RGP may be authorized through another type of permit, such as a Nationwide Permit, Letter of Permission, or Standard Permit. This office will determine on a case-by-case basis whether an activity has a more than minimal impact, individually or cumulatively, on the aquatic environment or may be contrary to the public interest. The Corps may include additional special conditions to any verification under this RGP to ensure the activity has minimal impact.

PERMIT DURATION: This permit is valid for 5 years from issuance and will expire on **DATE TBD**. If this RGP is not modified or reissued by the expiration date, it automatically expires and becomes null and void. The Corps may re-evaluate the terms and conditions of this permit at any time it deems necessary to protect the public interest. This RGP may be re-issued, after public notice and documentation of the decision. Activities under this permit must be verified in writing by the Corps. Verifications are valid until this RGP expires.

CONTACTS AND ADDITIONAL INFORMATION: For an updated list of Sacramento District contacts, please visit our website at <http://www.spk.usace.army.mil/missions/regulatory>. Unless modified, the following contacts should be used for the specific county where the proposed project is located:

District Office: For proposed boat docks and associated access located in Alameda, Contra Costa, Fresno, Kern, Kings, Madera, Mariposa, Merced, Mono, Sacramento, San Joaquin, Solano, Stanislaus, Tulare, Tuolumne, and Yolo Counties, contact the California South Branch. For proposed boat docks and associated access located in Alpine, Amador, Butte, Calaveras, Colusa, El Dorado, Glenn, Lake, Nevada, Placer, Sierra, Sutter, and Yuba Counties, contact the California North Branch:

Department of the Army
U.S. Army Engineer District, Sacramento
Corps of Engineers
1325 J Street, Room 1350
Sacramento, California 95814-2922
Phone: 916-557-5250
Fax: 916-557-5306
Email: spk-regulatory-info@usace.army.mil

Redding Field Office: For proposed boat docks and associated access located in Lassen, Modoc, Plumas, Shasta and Tehama Counties, contact the Redding Field Office:

Department of the Army
U.S. Army Engineer District, Sacramento
Corps of Engineers
310 Hemsted Avenue, Suite 310
Redding, California 96002-0935
Phone: 530-223-9534
Fax: 530-223-9539
Email: spk-regulatory-info@usace.army.mil

Reno Field Office: For Proposed boat docks and associated deck and access located in Lake Tahoe, the Truckee River and the State of Nevada, contact the Reno Field Office:

Department of the Army
U.S. Army Engineer District, Sacramento
Corps of Engineers
300 Booth Street, Room 3050
Reno, Nevada 89509-1328
Main: (775) 784-5304

ATTACHMENTS:

Attachment A: RGP 3, Pre-Construction Notification Form

Attachment B: Compliance Certification

U.S. Army Corps of Engineers, Sacramento District
1325 J Street, Room 1350, Sacramento, CA 95814-2922
www.spk.usace.army.mil/Missions/Regulatory.aspx

ATTACHMENT A

RGP #3 Pre-Construction Notification Checklist

U.S. Army Corps of Engineers Sacramento District



Regional General Permit (RGP) 3 Pre-Construction Notification (PCN) Checklist

This checklist may be used to request verification for a recreational boat dock, deck, and/or access below the ordinary high water mark (OHWM) or mean high water mark (MHW) of navigable waters of the U.S. within the Sacramento District boundaries of California, Colorado, Nevada, or Utah, under RGP 3. If additional space is needed, provide as an attachment.

1. Applicant and Agent Name and Addresses	
a. Applicant First - _____ Middle - _____ Last - _____ Company - _____ Email Address - _____ Address - _____ City - _____ State - _____ Zip - _____ Phone (Residence) - _____ Phone (Business) - _____ Fax - _____	
b. Agent First - _____ Middle - _____ Last - _____ Company - _____ Email Address - _____ Address - _____ City - _____ State - _____ Zip - _____ Phone (Residence) - _____ Phone (Business) - _____ Fax - _____	
2. Statement of Authorization: I hereby authorize _____, to act in my behalf as my agent in the processing of this pre-construction notification and to furnish, upon request, supplemental information in support of this pre-construction notification.	
_____ Signature of Applicant	_____ Date
3. Name and Location of the Proposed Activity	
a. Project Name or Title -	b. Name of Waterbody -
c. Is the waterbody tidally influenced? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown	c. Coordinates: (Decimal degrees (DD) or degree/minutes/seconds (D/M/S)) Latitude - _____ Longitude - _____
d. Other Location Description (project street address, assessor's parcel number, municipality, section/township/range, etc): 	
f. Directions to the site - 	

4. Information Regarding Activities Authorized Under Regional General Permit 3

(Note: If you answer Yes to any question identified with * in this section, your project does not qualify for RGP 3. You should submit a completed and signed Department of the Army Application Form 4345 for evaluation of your proposed activity under a Letter of Permission and/or Standard Permit, see <http://www.usace.army.mil/Missions/CivilWorks/RegulatoryProgramandPermits/ObtainPermit.aspx>)

a. Would the combined area of the dock and access below the OHWM/MHWM exceed 1,800 square feet (not including areas of open water used to moor a boat)?* Yes No

b. Would the width of the dock exceed 10 feet?* Yes No

c. As measured from the OHWM or MHWM, would the dock/access extend:*

More than 180-feet? Yes No

More than 30% of waterway distance? Yes No

d. Would the dock/access provide less than 5-feet of clearance from adjacent property boundaries?*

Yes No

e. If a deck is proposed, would the deck exceed 240 square feet below the plane of the OHWM/MHWM?*

Yes No N/A

f. Would more than one dock be constructed on the parcel?*

Yes No

5. Information Regarding Activities Prohibited Under Regional General Permit 3

(Note: If you answer Yes to any question identified with * in this section, your project does not qualify for RGP 3. You should submit a completed and signed Department of the Army Application Form 4345 for evaluation of your proposed activity under a Letter of Permission and/or Standard Permit, see <http://www.usace.army.mil/Missions/CivilWorks/RegulatoryProgramandPermits/ObtainPermit.aspx>)

a. Would the project include construction or placement of enclosed buildings, boathouses, fuel storage tanks, fuel dispensing facilities, sinks, toilets, showers, or sanitary pump out facilities?* Yes No

b. Would the dock be converted or used as a recreation room, residence or dwelling?* Yes No

c. Would the dock be used to moor a recreation room, floating residence or dwelling?* Yes No

6. Description of the Proposed Activity

a. Nature of the Activity (Complete description of the proposed project, including materials used, dates of construction, equipment, pilings, etc.) -

b. Total area of the dock (square feet) (if U-shaped/irregularly shaped dock, do not include the dimensions of open water used to moor boats) -

c. Total area of access (square feet) -

d. Total area of deck (square feet) -

e. Width of the waterway (in feet), from OHWM/MHWM -

f. Combined length of dock and access from the OHWM/MHWM of the waterway (in feet) -

g. Distance of dock from the adjacent property boundaries -

h. Dimensions of dock below OHWM or MHW (in feet) (if dock is U-shaped or of irregular dimensions, describe) –
 Length - Width -
 Description of irregularly shaped dock -

i. Dimensions of access below OHWM or MHW of the waterway (in feet) - Length - Width -

j. Dimensions of deck below OHWM or MHW of the waterway (in feet) (if dock is U-shaped or of irregular dimensions, describe) - Length - Width -
 Description of irregularly shaped deck -

k. Proposed methods for installation of the dock/deck/access (Describe how dock/deck/access would be installed, including installation of pilings) -

l. Length of time needed for installation of dock/access/deck (days) -
 Proposed dates for the installation of the dock/access/deck -

m. Material being used for construction of the dock and access-
 Will the material being used for the dock and access be chemically treated? Yes No
 If yes, what chemical(s) will be used for treating the dock and access?

7. Pilings

a. Would pilings be installed below the OHWM/MHW? Yes No
 If yes, identify what material the pilings will be made of -
 Identify the number of pilings that would be installed below the OHWM/MHW?
 If no pilings would be installed, skip to Block 8.

b. Length of time needed for installation of pilings (in days) -
 Proposed dates for installation -

c. Size of the pilings (diameter in inches) -

d. Pilings installed using a vibratory hammer?
 Yes No

e. Pilings installed using a drop hammer?
 Yes No

f. If the pilings will not be installed using a vibratory or drop hammer, provide a description of the method of installation -

9. Project Purpose (Describe the reasons or purpose of the project):

10. Drawings (ensure that the following drawings are enclosed):

- A vicinity map showing the location of the proposed project
- A plan view drawing showing the location and configuration of the proposed dock and access.
- A cross-section view drawing showing the location and configuration of the proposed dock and access.

(Note: Plan-view and cross-section view drawings shall: contain a title block, legend and scale; identify dimensions of the dock and access below the OHWM or MHWM of the waterway; depict the location, size and dimensions of the dock and access; identify the location and dimensions of nearby structures; identify the parcel boundary and distance of the dock from that boundary; identify the location for required photographs; and identify the location OHWM or MHWM of the waterway).

11. Pre-project color photographs: Ensure that the following photographs are enclosed, and that the drawings meet the August 6, 2012 *Final Map and Drawing Standards for the South Pacific Division Regulatory Program* (<http://www.spd.usace.army.mil/Portals/13/docs/regulatory/standards/map.pdf>):

- Proposed dock location
- Shoreline where the dock would be accessed
- Area upstream of the proposed dock location
- Area downstream of the proposed dock location
- Area across the channel from the proposed dock location

12. Measures taken to avoid and minimize impacts to the aquatic environment (e.g. impacts to Federally-listed threatened and/or endangered species, shallow water habitat, aquatic life movements, spawning areas, migratory bird breeding areas, riparian vegetation etc.) -

13. Information regarding how the proposed boat dock and associated deck/access will not interfere with the public's right to free navigation on navigable waters of the United States -

14. Threatened or Endangered Species

a. Are any Federally-listed (or proposed) threatened/endangered species known to exist in the project area? Yes No

List any Federally-listed (or proposed) threatened/ endangered species or critical habitat (or proposed critical habitat) within the project area (include scientific names (e.g., Genus species), if known):

- | | | |
|----|----|----|
| a. | b. | c. |
| d. | e. | f. |
| g. | h. | i. |

b. Have surveys, using U.S. Fish and Wildlife Service (USFWS) /National Marine Fisheries Service (NMFS) protocols, been conducted? Yes, Report attached No

c. Has a Biological Assessment (BA) or other report been completed for impacts to Federally-listed species?
 Yes, Report attached No

If no, please provide the following information (use a separate attachment if additional space is needed):

A description of the action to be considered: See blocks 6 – 9.

A description of the specific area that may be affected by the action:

A description of any listed species or critical habitat that may be affected by the action:

A description of the manner in which the action may affect any listed species or critical habitat & analysis of any cumulative impacts:

Relevant reports including any environmental impact statement, environmental assessment, or BA prepared:

Any other relevant available information on the action, the listed species, or critical habitat:

d. Has another Federal agency been designated as the lead for Section 7? Yes, Designation attached No
If yes, has the other Federal agency determined that the project is in compliance with Section 7?
 Yes, Compliance information attached No

e. Has Section 10 consultation been initiated for the proposed project? Yes, Initiation letter attached No
If yes, has the Section 10 consultation been completed? Yes, consultation letter attached No

f. Has the USFWS/NMFS issued a Biological Opinion (BO) or Letter of Concurrence (LOC)?
 Yes, Attached No
If yes, date BO/LOC was issued (mm/dd/yyyy):

15. Historic properties and cultural resources:

a. Are any cultural resources of any type known to exist in the project area? Yes No
If yes, list any known historic properties listed, or eligible for listing, on the National Register of Historic Places:
a. b. c.
d. e. f.

b. Has a cultural resource records search been conducted? Yes, Report attached No

c. Has a cultural resource pedestrian survey been conducted for the site? Yes, Report attached No

d. Has tribal coordination been conducted? Yes, Attached No

e. Has a cultural resources report been prepared to meet the Sacramento District's February 25, 2011 *Guidelines for Compliance with Section 106 of the National Historic Preservation Act* (http://www.spk.usace.army.mil/Portals/12/documents/regulatory/pdf/2011-02-25_Section_106_Guidelines.pdf)?
 Yes, Report attached No

f. Has another Federal agency been designated as the lead for Section 106? Yes, Designation attached No

g. Has Section 106 consultation been initiated by another Federal agency? Yes, Letter attached No

h. Has a Section 106 MOA or PA been signed by another Federal agency and SHPO? Yes, Attached No
If yes, list date MOA or PA was signed (mm/dd/yyyy):

16. Section 408 Permission

a. Does the proposed activity require permission from the Corps pursuant to 33 U.S.C. 408 because it will alter or temporarily or permanently occupy or use a U.S. Army Corps of Engineers (USACE) federally authorized civil works project
 Yes, Request attached No Unknown
If yes, have you received a Section 408 permission? Yes, Attached No
If no, submit evidence of a request for a Section 408 permission (see <https://www.spk.usace.army.mil/408permissions/>), or evidence that authorization is not required from the Corps.

RGP 3 Permit Conditions Checklist: In order to ensure that your project is in compliance with the GCs of RGP 3, please complete the below checklist. Please refer to RGP 3 for the full text of the GCs:

	General Condition	Rationale for compliance with GC
<input type="checkbox"/>	1. Notification: You shall submit a pre-construction notification prior to commencement of work in waters of the U.S. requiring a permit. You shall not commence construction activities in waters of the U.S. requiring a permit until you have received written notification from this office.	The PCN is being submitted. I understand I cannot commence activities in waters of the U.S. requiring a permit until I have been notified the proposed activity is authorized under RGP 3
<input type="checkbox"/>	2. Special Conditions: Verifications under the RGP may contain special conditions.	I understand that special conditions may be added to the permit and agree to comply with all special conditions.
<input type="checkbox"/>	3. Navigation <input type="checkbox"/> Use may not interfere with free navigation <input type="checkbox"/> Must install lights and signals at your expense <input type="checkbox"/> You may be required to remove, relocated or alter the authorized dock/access without expense to the U.S. and no claim shall be made against the U.S.	I understand and agree to comply with this condition.
<input type="checkbox"/>	4. Endangered Species and Essential Fish Habitat: <input type="checkbox"/> No Activity may jeopardize species or adversely affect critical habitat. <input type="checkbox"/> No work may affect a species or adversely affect EFH until the Corps completed consultation	I understand and agree to comply with this condition.
<input type="checkbox"/>	5. Cultural Resources: No activity may affect cultural resources until the requirements of Section 106 are completed.	I understand and agree to comply with this condition.
<input type="checkbox"/>	6. Anchoring and Damage from High Water Events: <input type="checkbox"/> Dock/access will anchored to withstand high flows. <input type="checkbox"/> Anchoring to riparian vegetation not authorized <input type="checkbox"/> There is a potential for damage to dock/access <input type="checkbox"/> You must take proper steps to ensure integrity of dock/access and safety of boats moored <input type="checkbox"/> United States will not be held liable for damages	I understand and agree to comply with this condition.
<input type="checkbox"/>	7. Maintenance <input type="checkbox"/> You shall maintain the dock/access in good conditions and in conformance with permit <input type="checkbox"/> Must request a permit to cease to maintain or abandon <input type="checkbox"/> Maintenance may require additional authorization	I understand and agree to comply with this condition.
<input type="checkbox"/>	8. Discovery of Previously Unknown Remains and Artifacts: If you discover previously unknown remains and artifacts, you must <input type="checkbox"/> Cease work <input type="checkbox"/> Notify Sacramento District	I understand and agree to comply with this condition.

<input type="checkbox"/>	9. Aquatic Life Movements <input type="checkbox"/> May not disrupts life cycle movements <input type="checkbox"/> PCN must contain information on avoidance	I understand and agree to comply with this condition. See box 12 for information on avoidance and minimization of aquatic life movements.
<input type="checkbox"/>	10. Spawning Areas <input type="checkbox"/> Avoid spawning areas during spawning season <input type="checkbox"/> PCN must contain information on avoidance	I understand and agree to comply with this condition. See box 12 for information on avoidance and minimization of spawning areas.
<input type="checkbox"/>	11. Suitable Material <input type="checkbox"/> Material must be free from toxic pollutants <input type="checkbox"/> No material coated with creosote <input type="checkbox"/> Chemically treated material coated with impact resistant and inert substance <input type="checkbox"/> Decking made of grating/plastic/non-reactive product <input type="checkbox"/> Floats mad of material that will not disintegrate	I understand and agree to comply with this condition. See box 6m.
<input type="checkbox"/>	12. Tribal Rights: The work may not impair reserved tribal rights.	I understand and agree to comply with this condition.
<input type="checkbox"/>	13. Contractor Compliance <input type="checkbox"/> Permittee responsible for all work <input type="checkbox"/> Ensure copy of permit is available during construction	I understand and agree to comply with this condition.
<input type="checkbox"/>	14. Notification of Start and Completion Dates <input type="checkbox"/> Notification submitted 10-days prior to construction <input type="checkbox"/> Notification submitted 30-days following completion	I understand and agree to comply with this condition.
<input type="checkbox"/>	15. Inspection: <input type="checkbox"/> You shall allow inspection of project <input type="checkbox"/> You will be notified in advance of inspections	I understand and agree to comply with this condition.
<input type="checkbox"/>	16. Compliance Certification <input type="checkbox"/> Compliance Certification submitted within 30-days Certification contains: <input type="checkbox"/> As-built drawings <input type="checkbox"/> Post-project color photographs	I agree to submit a compliance certification within 30-days following completion of construction activities.

ATTACHMENT B

Compliance Certification



DEPARTMENT OF THE ARMY
U.S. ARMY ENGINEER DISTRICT, SACRAMENTO
CORPS OF ENGINEERS
1325 J STREET
SACRAMENTO CA 95814-2922

REPLY TO
ATTENTION OF

COMPLIANCE CERTIFICATION

Permit File Number: SPK-XXXX-XXXXX

Regional General Permit 3, Small, Private, Recreational Boat Docks and Associated Access

Permittee: COMPANY
 ATTN: NAME
 ADDRESS 1
 ADDRESS 2
 CITY, STATE, ZIP CODE

County: COUNTY NAME

Date of Verification: DATE

Within 30 days after completion of the activity authorized by this permit, sign this certification and return it to the following address:

U.S. Army Corps of Engineers
 Sacramento District
 1325 J Street, Room 1350
 Sacramento, California 95814-2922
cespk-regulatory-info@usace.army.mil

Please note that your permitted activity is subject to a compliance inspection by a U.S. Army Corps of Engineers representative. If you fail to comply with the terms and conditions of the permit your authorization may be suspended, modified, or revoked. If you have any questions about this certification, please contact the Corps of Engineers.

I hereby certify that the work authorized by the above-referenced permit, including all the required mitigation, was completed in accordance with the terms and conditions of the permit verification.

Signature of Permittee

Date