

U.S. Army Corps of Engineers Sacramento District



Regional General Permit (RGP) 3 Pre-Construction Notification (PCN) Checklist

This checklist may be used to request verification for a recreational boat dock, deck, and/or access below the ordinary high water mark (OHWM) or mean high water mark (MHW) of navigable waters of the U.S. within the Sacramento District boundaries of California, Colorado, Nevada, or Utah, under RGP 3. If additional space is needed, provide as an attachment.

1. Applicant and Agent Name and Addresses

a. Applicant

First - _____ Middle - _____ Last - _____
 Company - _____ Email Address - _____
 Address - _____ City - _____ State - _____ Zip - _____
 Phone (Residence) - _____ Phone (Business) - _____ Fax - _____

b. Agent

First - _____ Middle - _____ Last - _____
 Company - _____ Email Address - _____
 Address - _____ City - _____ State - _____ Zip - _____
 Phone (Residence) - _____ Phone (Business) - _____ Fax - _____

2. Statement of Authorization: I hereby authorize _____, to act in my behalf as my agent in the processing of this pre-construction notification and to furnish, upon request, supplemental information in support of this pre-construction notification.

Signature of Applicant

Date

3. Name and Location of the Proposed Activity

a. Project Name or Title -

b. Name of Waterbody -

c. Is the waterbody tidally influenced?

Yes No Unknown

c. Coordinates: (Decimal degrees (DD) or degree/minutes/seconds (D/M/S))

Latitude - _____ Longitude - _____

d. Other Location Description (project street address, assessor's parcel number, municipality, section/township/range, etc):

f. Directions to the site -

4. Information Regarding Activities Authorized Under Regional General Permit 3

(Note: If you answer Yes to any question identified with * in this section, your project does not qualify for RGP 3. You should submit a completed and signed Department of the Army Application Form 4345 for evaluation of your proposed activity under a Letter of Permission and/or Standard Permit, see <http://www.usace.army.mil/Missions/CivilWorks/RegulatoryProgramandPermits/ObtainPermit.aspx>)

a. Would the combined area of the dock and access below the OHWM/MHWM exceed 1,800 square feet (not including areas of open water used to moor a boat)?* Yes No

b. Would the width of the dock exceed 10 feet?* Yes No

c. As measured from the OHWM or MHWM, would the dock/access extend:*

More than 180-feet? Yes No

More than 30% of waterway distance? Yes No

d. Would the dock/access provide less than 5-feet of clearance from adjacent property boundaries?*

Yes No

e. If a deck is proposed, would the deck exceed 240 square feet below the plane of the OHWM/MHWM?*

Yes No N/A

f. Would more than one dock be constructed on the parcel?*

Yes No

5. Information Regarding Activities Prohibited Under Regional General Permit 3

(Note: If you answer Yes to any question identified with * in this section, your project does not qualify for RGP 3. You should submit a completed and signed Department of the Army Application Form 4345 for evaluation of your proposed activity under a Letter of Permission and/or Standard Permit, see <http://www.usace.army.mil/Missions/CivilWorks/RegulatoryProgramandPermits/ObtainPermit.aspx>)

a. Would the project include construction or placement of enclosed buildings, boathouses, fuel storage tanks, fuel dispensing facilities, sinks, toilets, showers, or sanitary pump out facilities?* Yes No

b. Would the dock be converted or used as a recreation room, residence or dwelling?* Yes No

c. Would the dock be used to moor a recreation room, floating residence or dwelling?* Yes No

6. Description of the Proposed Activity

a. Nature of the Activity (Complete description of the proposed project, including materials used, dates of construction, equipment, pilings, etc.) -

b. Total area of the dock (square feet) (if U-shaped/irregularly shaped dock, do not include the dimensions of open water used to moor boats) -

c. Total area of access (square feet) -

d. Total area of deck (square feet) -

e. Width of the waterway (in feet), from OHWM/MHWM -

f. Combined length of dock and access from the OHWM/MHWM of the waterway (in feet) -

g. Distance of dock from the adjacent property boundaries -

h. Dimensions of dock below OHWM or MHW (in feet) (if dock is U-shaped or of irregular dimensions, describe) –
 Length - Width -
 Description of irregularly shaped dock -

i. Dimensions of access below OHWM or MHW of the waterway (in feet) - Length - Width -

j. Dimensions of deck below OHWM or MHW of the waterway (in feet) (if dock is U-shaped or of irregular dimensions, describe) - Length - Width -
 Description of irregularly shaped deck -

k. Proposed methods for installation of the dock/deck/access (Describe how dock/deck/access would be installed, including installation of pilings) -

l. Length of time needed for installation of dock/access/deck (days) -
 Proposed dates for the installation of the dock/access/deck -

m. Material being used for construction of the dock and access-
 Will the material being used for the dock and access be chemically treated? Yes No
 If yes, what chemical(s) will be used for treating the dock and access?

7. Pilings

a. Would pilings be installed below the OHWM/MHW? Yes No
 If yes, identify what material the pilings will be made of -
 Identify the number of pilings that would be installed below the OHWM/MHW?
 If no pilings would be installed, skip to Block 8.

b. Length of time needed for installation of pilings (in days) -
 Proposed dates for installation -

c. Size of the pilings (diameter in inches) -

d. Pilings installed using a vibratory hammer?
 Yes No

e. Pilings installed using a drop hammer?
 Yes No

f. If the pilings will not be installed using a vibratory or drop hammer, provide a description of the method of installation -

9. Project Purpose (Describe the reasons or purpose of the project):

10. Drawings (ensure that the following drawings are enclosed):

- A vicinity map showing the location of the proposed project
- A plan view drawing showing the location and configuration of the proposed dock and access.
- A cross-section view drawing showing the location and configuration of the proposed dock and access.

(Note: Plan-view and cross-section view drawings shall: contain a title block, legend and scale; identify dimensions of the dock and access below the OHWM or MHWM of the waterway; depict the location, size and dimensions of the dock and access; identify the location and dimensions of nearby structures; identify the parcel boundary and distance of the dock from that boundary; identify the location for required photographs; and identify the location OHWM or MHWM of the waterway).

11. Pre-project color photographs: Ensure that the following photographs are enclosed, and that the drawings meet the August 6, 2012 *Final Map and Drawing Standards for the South Pacific Division Regulatory Program* (<http://www.spd.usace.army.mil/Portals/13/docs/regulatory/standards/map.pdf>):

- Proposed dock location
- Shoreline where the dock would be accessed
- Area upstream of the proposed dock location
- Area downstream of the proposed dock location
- Area across the channel from the proposed dock location

12. Measures taken to avoid and minimize impacts to the aquatic environment (e.g. impacts to Federally-listed threatened and/or endangered species, shallow water habitat, aquatic life movements, spawning areas, migratory bird breeding areas, riparian vegetation etc.) -

13. Information regarding how the proposed boat dock and associated deck/access will not interfere with the public's right to free navigation on navigable waters of the United States -

14. Threatened or Endangered Species

a. Are any Federally-listed (or proposed) threatened/ endangered species known to exist in the project area?
Yes No

List any Federally-listed (or proposed) threatened/ endangered species or critical habitat (or proposed critical habitat) within the project area (include scientific names (e.g., Genus species), if known):

- | | | |
|----|----|----|
| a. | b. | c. |
| d. | e. | f. |
| g. | h. | i. |

b. Have surveys, using U.S. Fish and Wildlife Service (USFWS) /National Marine Fisheries Service (NMFS) protocols, been conducted? Yes, Report attached No

c. Has a Biological Assessment (BA) or other report been completed for impacts to Federally-listed species?
 Yes, Report attached No

If no, please provide the following information (use a separate attachment if additional space is needed):

A description of the action to be considered: See blocks 6 – 9.

A description of the specific area that may be affected by the action:

A description of any listed species or critical habitat that may be affected by the action:

A description of the manner in which the action may affect any listed species or critical habitat & analysis of any cumulative impacts:

Relevant reports including any environmental impact statement, environmental assessment, or BA prepared:

Any other relevant available information on the action, the listed species, or critical habitat:

d. Has another Federal agency been designated as the lead for Section 7? Yes, Designation attached No
If yes, has the other Federal agency determined that the project is in compliance with Section 7?
 Yes, Compliance information attached No

e. Has Section 10 consultation been initiated for the proposed project? Yes, Initiation letter attached No
If yes, has the Section 10 consultation been completed? Yes, consultation letter attached No

f. Has the USFWS/NMFS issued a Biological Opinion (BO) or Letter of Concurrence (LOC)?
 Yes, Attached No
If yes, date BO/LOC was issued (mm/dd/yyyy):

15. Historic properties and cultural resources:

a. Are any cultural resources of any type known to exist in the project area? Yes No
If yes, list any known historic properties listed, or eligible for listing, on the National Register of Historic Places:
a. b. c.
d. e. f.

b. Has a cultural resource records search been conducted? Yes, Report attached No

c. Has a cultural resource pedestrian survey been conducted for the site? Yes, Report attached No

d. Has tribal coordination been conducted? Yes, Attached No

e. Has a cultural resources report been prepared to meet the Sacramento District's February 25, 2011 *Guidelines for Compliance with Section 106 of the National Historic Preservation Act* (http://www.spk.usace.army.mil/Portals/12/documents/regulatory/pdf/2011-02-25_Section_106_Guidelines.pdf)?
 Yes, Report attached No

f. Has another Federal agency been designated as the lead for Section 106? Yes, Designation attached No

g. Has Section 106 consultation been initiated by another Federal agency? Yes, Letter attached No

h. Has a Section 106 MOA or PA been signed by another Federal agency and SHPO? Yes, Attached No
If yes, list date MOA or PA was signed (mm/dd/yyyy):

16. Section 408 Permission

a. Does the proposed activity require permission from the Corps pursuant to 33 U.S.C. 408 because it will alter or temporarily or permanently occupy or use a U.S. Army Corps of Engineers (USACE) federally authorized civil works project

Yes, Request attached No Unknown

If yes, have you received a Section 408 permission? Yes, Attached No

If no, submit evidence of a request for a Section 408 permission (see <https://www.spk.usace.army.mil/408permissions/>), or evidence that authorization is not required from the Corps.

RGP 3 Permit Conditions Checklist: In order to ensure that your project is in compliance with the GCs of RGP 3, please complete the below checklist. Please refer to RGP 3 for the full text of the GCs:

	General Condition	Rationale for compliance with GC
<input type="checkbox"/>	1. Notification: You shall submit a pre-construction notification prior to commencement of work in waters of the U.S. requiring a permit. You shall not commence construction activities in waters of the U.S. requiring a permit until you have received written notification from this office.	The PCN is being submitted. I understand I cannot commence activities in waters of the U.S. requiring a permit until I have been notified the proposed activity is authorized under RGP 3
<input type="checkbox"/>	2. Special Conditions: Verifications under the RGP may contain special conditions.	I understand that special conditions may be added to the permit and agree to comply with all special conditions.
<input type="checkbox"/>	3. Navigation <input type="checkbox"/> Use may not interfere with free navigation <input type="checkbox"/> Must install lights and signals at your expense <input type="checkbox"/> You may be required to remove, relocated or alter the authorized dock/access without expense to the U.S. and no claim shall be made against the U.S.	I understand and agree to comply with this condition.
<input type="checkbox"/>	4. Endangered Species and Essential Fish Habitat: <input type="checkbox"/> No Activity may jeopardize species or adversely affect critical habitat. <input type="checkbox"/> No work may affect a species or adversely affect EFH until the Corps completed consultation	I understand and agree to comply with this condition.
<input type="checkbox"/>	5. Cultural Resources: No activity may affect cultural resources until the requirements of Section 106 are completed.	I understand and agree to comply with this condition.
<input type="checkbox"/>	6. Anchoring and Damage from High Water Events: <input type="checkbox"/> Dock/access will anchored to withstand high flows. <input type="checkbox"/> Anchoring to riparian vegetation not authorized <input type="checkbox"/> There is a potential for damage to dock/access <input type="checkbox"/> You must take proper steps to ensure integrity of dock/access and safety of boats moored <input type="checkbox"/> United States will not be held liable for damages	I understand and agree to comply with this condition.
<input type="checkbox"/>	7. Maintenance <input type="checkbox"/> You shall maintain the dock/access in good conditions and in conformance with permit <input type="checkbox"/> Must request a permit to cease to maintain or abandon <input type="checkbox"/> Maintenance may require additional authorization	I understand and agree to comply with this condition.
<input type="checkbox"/>	8. Discovery of Previously Unknown Remains and Artifacts: If you discover previously unknown remains and artifacts, you must <input type="checkbox"/> Cease work <input type="checkbox"/> Notify Sacramento District	I understand and agree to comply with this condition.

<input type="checkbox"/>	9. Aquatic Life Movements <input type="checkbox"/> May not disrupts life cycle movements <input type="checkbox"/> PCN must contain information on avoidance	I understand and agree to comply with this condition. See box 12 for information on avoidance and minimization of aquatic life movements.
<input type="checkbox"/>	10. Spawning Areas <input type="checkbox"/> Avoid spawning areas during spawning season <input type="checkbox"/> PCN must contain information on avoidance	I understand and agree to comply with this condition. See box 12 for information on avoidance and minimization of spawning areas.
<input type="checkbox"/>	11. Suitable Material <input type="checkbox"/> Material must be free from toxic pollutants <input type="checkbox"/> No material coated with creosote <input type="checkbox"/> Chemically treated material coated with impact resistant and inert substance <input type="checkbox"/> Decking made of grating/plastic/non-reactive product <input type="checkbox"/> Floats mad of material that will not disintegrate	I understand and agree to comply with this condition. See box 6m.
<input type="checkbox"/>	12. Tribal Rights: The work may not impair reserved tribal rights.	I understand and agree to comply with this condition.
<input type="checkbox"/>	13. Contractor Compliance <input type="checkbox"/> Permittee responsible for all work <input type="checkbox"/> Ensure copy of permit is available during construction	I understand and agree to comply with this condition.
<input type="checkbox"/>	14. Notification of Start and Completion Dates <input type="checkbox"/> Notification submitted 10-days prior to construction <input type="checkbox"/> Notification submitted 30-days following completion	I understand and agree to comply with this condition.
<input type="checkbox"/>	15. Inspection: <input type="checkbox"/> You shall allow inspection of project <input type="checkbox"/> You will be notified in advance of inspections	I understand and agree to comply with this condition.
<input type="checkbox"/>	16. Compliance Certification <input type="checkbox"/> Compliance Certification submitted within 30-days Certification contains: <input type="checkbox"/> As-built drawings <input type="checkbox"/> Post-project color photographs	I agree to submit a compliance certification within 30-days following completion of construction activities.