

Complete Permit Applications

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US Army Corps of Engineers
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Content

- Complete Pre-Construction Notification (PCN) for Nationwide Permits in California
- Complete notification for Regional General Permit (RGP) 5, *Small Erosion Repair Program*
- Complete notification for RGP 8, *Emergency Actions*
- Complete Application for Section 404 Letter of Permission
- Complete Application for Standard Permit



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Pre-Construction Notification

- A pre-construction notification (PCN) is a notification to Corps of the intent to construct a project under a specific Nationwide Permit (NWP)
- How to determine if PCN is required:
 - ▶ NWP terms identify whether PCN is required for NWP
 - ▶ General Conditions (GC) may include additional PCN requirements (e.g. GC 18 and 20)
 - ▶ Regional Conditions (RC) may include additional PCN requirements



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PCN Requirements

General Conditions

■ GC 31

- ▶ Name, address, phone number
- ▶ Location of project
- ▶ Description of proposed project
 - Purpose
 - Direct and indirect adverse environmental effects, including amount of “loss” of WOUS in acres, linear feet
 - Any other NWP, RGP, or IP used or intended to be used
 - Sufficiently detailed to allow DE to determine adverse effects
 - Sketches should be provided when necessary
- ▶ Delineation of wetlands, special aquatic sites and other waters
 - Prepared in accordance with current method required by Corps
- ▶ If >1/10 acre of loss of wetlands, and PCN required, statement of how mitigation will be satisfied or why should not be required



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PCN Requirements

- GC 31

- ▶ If listed species or critical habitat affected/in vicinity, names of species that might be affected. Federal applicants provide documentation of compliance
- ▶ If activity may affect historic property, state which property may be affected by proposed work. Federal applicants provide documentation for compliance

Regional Conditions

- CA RC 1

- ▶ SPD PCN Checklist or DA 4345 with attachment providing information on compliance with all General and Regional Conditions
- ▶ Written statement on how activity has been designed to avoid and minimize temporary and permanent adverse effects to WOUS
- ▶ Plan and cross-section view drawings of the project, meeting current standards
- ▶ Numbered and dated pre-project color photos with compass angle and position identified on plan-view drawings



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PCN Requirements

- CA RC 5

- ▶ For temporary fills PCN includes

- Description of temporary fill
 - Type and amount of material to be placed
 - Area proposed to be impacted
 - Proposed plan for restoration to pre-project contours and conditions, including re-vegetation
 - Reasons why avoidance of temporary impacts not practicable

- CA RC 19

- ▶ For NWPs 13 and 14: request to utilize non-vegetated techniques for bank stabilization must include information on why the sole use of vegetated techniques is not practicable



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PCN Requirements

- CA RC 23

- ▶ Requests to waive 300 lf limit for NWP 21, 29, 39, 40, 42, 43, 44, 50, 51, and 52 or to waive 500 lf limit along the bank for NWP 13
 - Narrative description of stream
 - ▷ Volume and duration of flow
 - ▷ Approximate length, width, depth of waterbody
 - ▷ Characteristic associated with ordinary high water mark (e.g. bed and bank, wrack line or scour marks)
 - ▷ Description of adjacent vegetation community
 - ▷ Statement regarding wetland status of adjacent area
 - ▷ Surrounding land use
 - ▷ Water quality
 - ▷ Issues related to cumulative impacts in watershed
 - ▷ Any other relevant information
 - Analysis of proposed impacts to the waterbody per GC 31 and RC 1
 - Measures taken to avoid and minimize losses of waters of the U.S., including other methods of constructing activity
 - Compensatory mitigation plan



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SPD PCN ✓ List for CA



U.S. Army Corps of Engineers South Pacific Division



Nationwide Permit Pre-Construction Notification (PCN) Form

This form integrates requirements of the U.S. Army Corps of Engineers Nationwide Permit Program within the South Pacific Division (SPD), including General and Regional Conditions. You MUST fill out all boxes related to the work being done. Fillable boxes in this form expand if additional space is needed.

Box 1 Project Name			
Applicant Name		Applicant Title	
Applicant Company, Agency, etc.		Applicant's internal tracking number (if any)	
Mailing Address			
Work Phone with area code	Mobile Phone with area code	Home Phone with area code	Fax # with area code
E-mail Address		Relationship of applicant to property: <input type="checkbox"/> Owner <input type="checkbox"/> Purchaser <input type="checkbox"/> Lessee <input type="checkbox"/> Other:	
Application is hereby made for verification that subject regulated activities associated with subject project qualify for authorization under a U.S. Army Corps of Engineers Nationwide Permit or Permits as described herein. I certify that I am familiar with the information contained in this application and, that to the best of my knowledge and belief, such information is true, complete, and accurate. I further certify that I possess the authority to undertake the proposed activities. I hereby grant to the agency to which this application is made the right to enter the above-described location to inspect the proposed, in-progress or completed work. I agree to start work <u>only</u> after all necessary permits have been received and to comply with all terms and conditions of the authorization.			
Signature of applicant			Date (mm/dd/yyyy)

If anyone other than the person named as the Applicant will be in contact with the U.S. Army Corps of Engineers representing the Applicant regarding this project during the permit process, Box 2 MUST be filled out.

Box 2 Authorized Agent/Operator Name		Agent/Operator Title	
Agent/Operator Company, Agency, etc.		E-mail Address	
Mailing Address			
Work Phone with area code	Mobile Phone with area code	Home Phone with area code	Fax # with area code
I hereby authorize the above named authorized agent to act in my behalf as my agent in the processing of this application and to furnish, upon request, supplemental information in support of this permit application. I understand that I am bound by the actions of my agent and I understand that if a federal or state permit is issued, I, or my agent, must sign the permit.			
Signature of applicant			Date (mm/dd/yyyy)
I certify that I am familiar with the information contained in this application, and that to the best of my knowledge and belief, such information is true, complete, and accurate.			
Signature of authorized agent			Date (mm/dd/yyyy)



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Box 3 Name of Property Owner(s), if other than Applicant:		
Owner Title		Owner Company, Agency, etc.
Mailing Address		
Work Phone <small>with area code</small>	Mobile Phone <small>with area code</small>	Home Phone <small>with area code</small>

Box 4 Name of Contractor(s) (if known):		
Contractor Title		Contractor Company, Agency, etc.
Mailing Address		
Work Phone <small>with area code</small>	Mobile Phone <small>with area code</small>	Home Phone <small>with area code</small>

Box 5 Site Number __ of __. Project location(s), including street address, city, county, state, zip code where proposed activity will occur:		
Waterbody (if known, otherwise enter "an unnamed tributary to"):		
Tributary to what known, downstream waterbody:		
Latitude & Longitude (D/M/S, DD, or UTM with Zone):		Section, Township, Range:
County Assessor Parcel Number (Include County name):		USGS Quadrangle map name:
Watershed (HUC and watershed name ¹): <small>¹http://water.usgs.gov/GIS/regions.html</small>		Size of permit area or project boundary: acres linear feet
Directions to the project location and other location descriptions, if known:		
Nature of Activity (Description of the project, include all features):		
Project Purpose (Description of the reason or purpose of the project):		



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Box 6 Reason(s) for discharge into Waters of the United States (Description of why dredged and/or fill material needs to be placed in Waters of the United States):

Proposed discharge of dredge and/or fill material. Indicate total surface area in **acres** and **linear feet** (where appropriate) of the proposed impacts to Waters of the United States, indicate water body type (tidal wetland, non-tidal wetland, riparian wetland, ephemeral stream/river, intermittent stream/river, perennial stream/river, pond/lake, vegetated shallows, bay/harbor, lagoon, ocean, etc.), and identify the impact(s) as permanent and/or temporary for each requested Nationwide Permit¹:

¹ Enter the intended permit number(s). See Nationwide Permit regulations for permit numbers and qualification information:
<http://www.usace.army.mil/Missions/CivilWorks/RegulatoryProgramandPermits/NationwidePermits.aspx>

Water Body Type	Requested NWP Number:				Requested NWP Number:				Requested NWP Number:			
	Permanent		Temporary		Permanent		Temporary		Permanent		Temporary	
	Area	Length	Area	Length	Area	Length	Area	Length	Area	Length	Area	Length
Pick One												
Pick One												
Pick One												
Pick One												
Pick One												
Total:												

Total volume (in cubic yards) and type(s) of material proposed to be dredged from or discharged into Waters of the United States:

Material Type	Total Volume Dredged	Total Volume Discharged
Rock Slope Protection (RSP)		
Clean spawning gravel		
River rock		
Soil/Dirt/Silt/Sand/Mud		
Concrete		
Structure		
Stumps/Root wads		
Other:		
Total:		

Activity requires a written waiver to exceed specified limits of the Nationwide Permit? ☐ Yes ☐ No
If yes, provide Nationwide Permit number and name, limit to be exceeded, and rationale for each requested waiver:

Activity will result in the loss of greater than 1/2-acre of Waters of the United States? ☐ Yes ☐ No
If yes, provide an electronic copy (compact disc) or multiple hard copies (7) of the complete PCN for appropriate Federal and State Pre-discharge Notification (See General Condition #31, Pre-construction Notification, Agency Coordination, Section 2 and 4).



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Describe direct and indirect effects caused by the activity and how the activity has been designed (or modified) to have minimal adverse effects on the aquatic environment (See General Condition #31, Pre-construction Notification, District Engineer's Decision, Section 1):

Potential cumulative impacts of proposed activity(if any):

Required drawings and figures (see each U.S. Army Corps of Engineers District's Minimum Standards Guidance):
 Vicinity map: ☐ Attached (or mail copy separately if applying electronically)
 To-scale Plan view drawing(s): ☐ Attached (or mail copy separately if applying electronically)
 To-scale elevation and/or Cross Section drawing(s): ☐ Attached (or mail copy separately if applying electronically)
 Numbered and dated pre-project color photographs: ☐ Attached (or mail copy separately if applying electronically)
 Sketch drawing(s) or map(s): ☐ Attached (or mail copy separately if applying electronically)

Has a wetlands/waters of the U.S. delineation been completed?
☐ Yes, Attached² (or mail copy separately if applying electronically) ☐ No
 If a delineation has been completed, has it been verified in writing by the Corps?
☐ Yes, Date of preliminary or approved jurisdictional determination (mm/dd/yyyy): Corps file number: ☐ No
²If available, provide ESRI shapefiles (NAD83) for delineated waters

For proposed discharges of dredged material resulting from navigation dredging into inland or near-shore waters of the U.S. (including beach nourishment), please attach³ a proposed Sampling and Analysis Plan (SAP) prepared according to Inland Testing Manual (ITM) guidelines (including Tier I information, if available), or if disposed offshore, a proposed SAP prepared according to the Ocean Disposal Manual. ☐ Attached (or mail copy separately if applying electronically)
³Or mail copy separately if applying electronically

Is any portion of the work already complete? ☐ YES ☐ NO
 If yes, describe the work:

Box 7 Authority:
 Is Section 10 of the Rivers and Harbors Act applicable?: ☐ YES ☐ NO
 Is Section 404 of the Clean Water Act applicable?: ☐ YES ☐ NO

Is the project located on U.S. Army Corps of Engineers property or easement?: ☐ YES ☐ NO
 If yes, has Section 408 process been initiated?: ☐ YES ☐ NO
 Would the project affect a U.S. Army Corps of Engineers structure?: ☐ YES ☐ NO
 If yes, has Section 408 process been initiated?: ☐ YES ☐ NO

Is the project located on other Federal Lands (USFS, BLM, etc.)?: ☐ YES ☐ NO
 Is the project located on Tribal Lands?: ☐ YES ☐ NO

Box 8 Is the discharge of fill or dredged material for which Section 10/404 authorization is sought part of a larger plan of development?: ☐ YES ☐ NO
 If discharge of fill or dredged material is part of development, name and proposed schedule for that larger development (start-up, duration, and completion dates):



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Location of larger development (if discharge of fill or dredged material is part of a plan of development, a map of suitable quality and detail of the entire project site should be included):

Box 9 Measures taken to avoid and minimize impacts to waters of the United States:

Box 10 Proposed Compensatory Mitigation related to fill/excavation and dredge activities. Indicate in **acres** and **linear feet** (where appropriate) the total quantity of Waters of the United States proposed to be created, restored, enhanced and/or preserved for purposes of providing compensatory mitigation. Indicate water body type (tidal wetland, non-tidal wetland, riparian wetland, ephemeral stream/river, intermittent stream/river, perennial stream/river, pond/lake, vegetated shallows, bay/harbor, lagoon, ocean, etc.) or non-jurisdictional (uplands¹). Indicate mitigation type (permittee-responsible on-site/off-site, mitigation bank, or in-lieu fee program). If the mitigation is purchase of credits from a mitigation bank, indicate the bank to be used, if known:

¹ For uplands, please indicate if designed as an upland buffer.

Site Number	Water Body Type	Created		Restored		Enhanced		Preserved		Mitigation Type
		Area	Length	Area	Length	Area	Length	Area	Length	
	Pick One									Pick One
	Pick One									Pick One
	Pick One									Pick One
	Pick One									Pick One
	Pick One									Pick One
Total:										Pick One

If no mitigation is proposed, provide detailed explanation of why no mitigation would be necessary:

If permittee-responsible mitigation is proposed, provide justification for not utilizing a Corps-approved mitigation bank or in-lieu fee program:

Has a draft/conceptual mitigation plan been prepared in accordance with the April 10, 2008, Final Mitigation Rule² and District Guidelines?

²http://www.usace.army.mil/Missions/CivilWorks/RegulatoryProgramandPermits/mitig_info.aspx

³**Sacramento and San Francisco Districts**-http://www.spk.usace.army.mil/organizations/cespk-co/regulatory/pdf/Mitigation_Monitoring_Guidelines.pdf

⁴**Los Angeles District**-http://www.spl.usace.army.mil/regulatory/mmg_2004.pdf

⁵**Albuquerque District**-http://www.spa.usace.army.mil/reg/mitigation/SPA%20Final%20Mitigation%20Guidelines_OLD.pdf

☐ Yes, Attached (or mail copy separately if applying electronically) ☐ No

If no, a mitigation plan must be prepared and submitted, if applicable.

Mitigation site(s) Latitude & Longitude (D/M/S, DD, or UTM with Zone):

USGS Quadrangle map name(s):

Assessor Parcel Number(s):

Section(s), Township(s), Range(s):



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Other location descriptions, if known:
Directions to the mitigation location(s):

Box 11 Threatened or Endangered Species Please list any federally-listed (or proposed) threatened or endangered species or critical habitat (or proposed critical habitat) within the project area (include scientific names (e.g., Genus species), if known):	
a.	b.
c.	d.
e.	f.
Have surveys, using U.S. Fish and Wildlife Service/NOAA Fisheries protocols, been conducted? <input type="checkbox"/> Yes, Report attached (or mail copy separately if applying electronically) <input type="checkbox"/> No	
If a federally-listed species would be impacted, please provide a description of the impact and a biological evaluation, if available. <input type="checkbox"/> Yes, Report attached (or mail copy separately if applying electronically) <input type="checkbox"/> Not attached	
Has Section 7 consultation been initiated by another federal agency? <input type="checkbox"/> Yes, Initiation letter attached (or mail copy separately if applying electronically) <input type="checkbox"/> No	
Has Section 10 consultation been initiated for the proposed project? <input type="checkbox"/> Yes, Initiation letter attached (or mail copy separately if applying electronically) <input type="checkbox"/> No	
Has the USFWS/NOAA Fisheries issued a Biological Opinion? <input type="checkbox"/> Yes, Attached (or mail copy separately if applying electronically) <input type="checkbox"/> No	
If yes, list date Opinion was issued (m/d/yyyy):	

Box 12 Historic properties and cultural resources: Are any cultural resources of any type known to exist on-site? <input type="checkbox"/> Yes <input type="checkbox"/> No Please list any known historic properties listed, or eligible for listing, on the National Register of Historic Places:	
a.	b.
c.	d.
e.	f.
Has a cultural resource records search been conducted? <input type="checkbox"/> Yes, Report attached (or mail copy separately if applying electronically) <input type="checkbox"/> No	
Has a cultural resource pedestrian survey been conducted for the site? <input type="checkbox"/> Yes, Report attached (or mail copy separately if applying electronically) <input type="checkbox"/> No	
Has another federal agency been designated the lead federal agency for Section 106 consultation? <input type="checkbox"/> Yes, Designation letter/email attached (or mail copy separately if applying electronically) <input type="checkbox"/> No	
Has Section 106 consultation been initiated by another federal agency? <input type="checkbox"/> Yes, Initiation letter attached (or mail copy separately if applying electronically) <input type="checkbox"/> No	
Has a Section 106 MOA or PA been signed by another federal agency and the SHPO? <input type="checkbox"/> Yes, Attached (or mail copy separately if applying electronically) <input type="checkbox"/> No	
If yes, list date MOA or PA was signed (m/d/yyyy):	



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Box 13 Section 401 Water Quality Certification:

Applying for certification? ☐ Yes, Attached (or mail copy separately if applying electronically) ☐ No

Certification issued? ☐ Yes, Attached (or mail copy separately if applying electronically) ☐ No

Certification waived? ☐ Yes, Attached (or mail copy separately if applying electronically) ☐ No

Certification denied? ☐ Yes, Attached (or mail copy separately if applying electronically) ☐ No

Exempted activity? ☐ Yes ☐ No

Agency concurrence? ☐ Yes, Attached ☐ No

If exempt, state why:

Box 14 Coastal Zone Management Act:

Is the project located within the Coastal Zone? ☐ Yes ☐ No

If yes, applying for a coastal commission-approved Coastal Development Permit?

☐ Yes, Attached (or mail copy separately if applying electronically) ☐ No

If no, applying for separate CZMA-consistency certification?

☐ Yes, Attached (or mail copy separately if applying electronically) ☐ No

Permit/Consistency issued? ☐ Yes, Attached (or mail copy separately if applying electronically) ☐ No

Exempt? ☐ Yes ☐ No

Agency concurrence? ☐ Yes, Attached ☐ No

If exempt, state why:

Box 15 List of other certifications or approvals/denials received from other federal, state, or local agencies for work described in this application:

Agency	Type of Approval ⁴	Identification Number	Date Applied	Date Approved	Date Denied

⁴ Would include but is not restricted to zoning, building, and flood plain permits



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Nationwide Permit General Conditions (GC) checklist:

(<http://www.gpo.gov/fdsys/pkg/FR-2012-02-21/pdf/2012-3687.pdf>)

Check	General Condition	Rationale for compliance with General Condition
<input type="checkbox"/>	1. Navigation	
<input type="checkbox"/>	2. Aquatic Life Movements	
<input type="checkbox"/>	3. Spawning Areas	
<input type="checkbox"/>	4. Migratory Bird Breeding Areas	
<input type="checkbox"/>	5. Shellfish Beds	
<input type="checkbox"/>	6. Suitable Material	
<input type="checkbox"/>	7. Water Supply Intakes	
<input type="checkbox"/>	8. Adverse Effects from Impoundments	
<input type="checkbox"/>	9. Management of Water Flows	
<input type="checkbox"/>	10. Fills Within 100-Year Floodplains	
<input type="checkbox"/>	11. Equipment	
<input type="checkbox"/>	12. Soil Erosion and Sediment Controls	
<input type="checkbox"/>	13. Removal of Temporary Fills	
<input type="checkbox"/>	14. Proper Maintenance	



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<input type="checkbox"/>	15. Single and Complete Project	
<input type="checkbox"/>	16. Wild and Scenic Rivers	
<input type="checkbox"/>	17. Tribal Rights	
<input type="checkbox"/>	18. Endangered Species	See Box 11 above.
<input type="checkbox"/>	19. Migratory Bird and Bald and Golden Eagle Permits	
<input type="checkbox"/>	20. Historic Properties	See Box 12 above.
<input type="checkbox"/>	21. Discovery of Previously Unknown Remains and Artifacts	
<input type="checkbox"/>	22. Designated Critical Resource Waters	
<input type="checkbox"/>	23. Mitigation	See Box 10 above.
<input type="checkbox"/>	24. Safety of Impoundment Structures	
<input type="checkbox"/>	25. Water Quality	See Box 13 above.
<input type="checkbox"/>	26. Coastal Zone Management	See Box 14 above.
<input type="checkbox"/>	27. Regional and Case-by-Case Conditions	
<input type="checkbox"/>	28. Use of Multiple Nationwide Permits	
<input type="checkbox"/>	29. Transfer of Nationwide Permit Verifications	
<input type="checkbox"/>	30. Compliance Certification	
<input type="checkbox"/>	31. Pre-Construction Notification	



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Sacramento District Nationwide Permit Program Regional Conditions Checklist for California

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On March 18, 2012, the U.S. Army Corps of Engineers' South Pacific Division approved 26 regional conditions for the 2012 Nationwide Permits (NWP) in California, excluding the Lake Tahoe Basin, within the Sacramento District. This checklist is intended to assist applicants with completing the South Pacific Division Pre-Construction Notification Checklist and to ensure compliance with the regional conditions. This checklist does not include the full text of each regional condition.

Please refer to the *Final Sacramento District Nationwide Permit Regional Conditions for California, excluding the Lake Tahoe Basin* (http://www.spk.usace.army.mil/Portals/12/documents/regulatory/nwp/2012_nwps/2012-NWPP-RC-CA.pdf) and the *List of Additional Information Required for Complete Pre-Construction Notification for California, Nevada and Utah*, when completing this checklist.

Please check the box to indicate you have read and have/will comply with the regional condition and provide a rationale on how you have/will comply with the condition.

Check	Regional Condition	Compliance Rationale
<input type="checkbox"/>	1. Pre-construction Notification. PCN must include: <input type="checkbox"/> Avoidance & minimization statement. <input type="checkbox"/> Plan & cross-section drawings. <input type="checkbox"/> Pre-project photos.	The information has been submitted with the PCN.
<input type="checkbox"/>	2. Pre-construction Notification. PCN must be submitted for: <input type="checkbox"/> Activities in a vernal pool. <input type="checkbox"/> Activities in the Primary or Secondary Zone of the Legal Delta, Sacramento River, and San Joaquin River, and immediate tributaries. <input type="checkbox"/> Crossings of perennial or intermittent waters. <input type="checkbox"/> Activities within 100 feet of a natural spring. <input type="checkbox"/> Activities located in areas designated as EFH.	
<input type="checkbox"/>	3. Recordation. Permittee will record the NWP verification.	The proposed project does not involve the designation of a preserve or a structure in a navigable water. OR I agree to record the NWP verification with the Registrar of Deeds.
<input type="checkbox"/>	4. Avoided Waters. Permittee shall: <input type="checkbox"/> Establish & maintain a preserve. <input type="checkbox"/> Place avoided waters & buffers into a separate parcel; and <input type="checkbox"/> Establish permanent legal protection.	
<input type="checkbox"/>	5. Temporary Fill. PCN must include: <input type="checkbox"/> Avoidance practicability statement. <input type="checkbox"/> Description of the fill. <input type="checkbox"/> Plan for restoration and/or revegetation. Permittee will: <input type="checkbox"/> Use clean & washed gravel, <input type="checkbox"/> Place a horizontal marker. <input type="checkbox"/> Remove all temporary fill within 30 days.	



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Sacramento District Nationwide Permit Program Regional Conditions Checklist for California

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Please check the box to indicate you have read and have/will comply with the regional condition and provide a rationale on how you have/will comply with the condition.

Check	Regional Condition	Compliance Rationale
<input type="checkbox"/>	1. Pre-construction Notification. PCN must include: <input type="checkbox"/> Avoidance & minimization statement. <input type="checkbox"/> Plan & cross-section drawings. <input type="checkbox"/> Pre-project photos.	The information has been submitted with the PCN.
<input type="checkbox"/>	2. Pre-construction Notification. PCN must be submitted for: <input type="checkbox"/> Activities in a vernal pool. <input type="checkbox"/> Activities in the Primary or Secondary Zone of the Legal Delta, Sacramento River, and San Joaquin River, and immediate tributaries. <input type="checkbox"/> Crossings of perennial or intermittent waters. <input type="checkbox"/> Activities within 100 feet of a natural spring. <input type="checkbox"/> Activities located in areas designated as EFH.	
<input type="checkbox"/>	3. Recordation. Permittee will record the NWP verification.	The proposed project does not involve the designation of a preserve or a structure in a navigable water. OR I agree to record the NWP verification with the Registrar of Deeds.
<input type="checkbox"/>	4. Avoided Waters. Permittee shall: <input type="checkbox"/> Establish & maintain a preserve. <input type="checkbox"/> Place avoided waters & buffers into a separate parcel; and <input type="checkbox"/> Establish permanent legal protection.	
<input type="checkbox"/>	5. Temporary Fill. PCN must include: <input type="checkbox"/> Avoidance practicability statement. <input type="checkbox"/> Description of the fill. <input type="checkbox"/> Plan for restoration and/or revegetation. Permittee will: <input type="checkbox"/> Use clean & washed gravel, <input type="checkbox"/> Place a horizontal marker. <input type="checkbox"/> Remove all temporary fill within 30 days.	



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Check	Regional Condition	Compliance Rationale
<input type="checkbox"/>	6. Stream Crossings. <input type="checkbox"/> For Federally-listed fish species habitat, span the stream or river, or use bottomless arch culvert. <input type="checkbox"/> Ensure only minor impacts would occur to fish and wildlife passage or expected high flows. <input type="checkbox"/> No work within standing or flowing waters. <input type="checkbox"/> Dewatering plans must be approved by the Corps. <input type="checkbox"/> Will comply with Regional Condition 19; <input type="checkbox"/> Will not result in a reduction of bankfull width or depth of streams or negatively alter the flood control capacity.	
<input type="checkbox"/>	7. Lead Federal Agency. Must submit documentation pertaining to the Corps Permit Area for ESA and Area of Potential Effect for NHPA.	
<input type="checkbox"/>	8. Compliance Certificate. Must submit: <input type="checkbox"/> As-built drawings. <input type="checkbox"/> Post-construction photographs.	I agree to submit as-built drawings and post-construction photographs as required by this condition.
<input type="checkbox"/>	9. Permittee Responsible Mitigation. Must submit a final compensatory mitigation & monitoring plan.	The activity does not involve permittee-responsible mitigation OR A final mitigation and monitoring plan will be submitted prior to initiation of construction activities. OR A final mitigation and monitoring plan has been submitted with the PCN.
<input type="checkbox"/>	10. Mitigation. Must complete mitigation construction before or concurrent with commencement of project construction and/or submit proof of mitigation bank or ILF payment.	I agree to comply with this condition.
<input type="checkbox"/>	11. Contractor Awareness. Responsible for awareness and shall ensure permit & drawing availability.	I agree to have a copy of the permit and drawings available for review at the project site.
<input type="checkbox"/>	12. Limits of Disturbance. Must clearly identify & ensure no work takes place outside of limits.	The project limits will be clearly identified in the field.
<input type="checkbox"/>	13. Notification. Must notify 10 days prior to initiation of project construction.	I agree to comply with this condition.
<input type="checkbox"/>	14. Inspections. Must allow inspection of activity(s).	I agree to allow Corps representatives to inspect the project and any avoidance/mitigation areas.
<input type="checkbox"/>	15. Mather Core Recovery Area (Sacramento County). NWPs (see list) revoked from use in vernal pools.	The activity does not involve impacts to vernal pools in the Mather Core Recovery Area. OR The activity would not involve the use of NWP 14, 18, 23, 29, 39, 40, 42, 43 or 44.
<input type="checkbox"/>	16. Legal Delta. NWPs (see list) revoked.	The activity does not involve impacts in the Legal Delta. OR The activity would not involve the use of NWP 29 or 39.
<input type="checkbox"/>	17. Secondary Zone. Impacts must be mitigated within the Secondary Zone of the Legal Delta.	The activity would not occur within the Secondary Zone of the Legal Delta. OR I agree to comply with this condition.
<input type="checkbox"/>	18. NWP 12 (Utility Lines). <input type="checkbox"/> Activity will not drain wetlands or waters. <input type="checkbox"/> Permittee will stockpile top 6-12" of topsoil. <input type="checkbox"/> Permittee will replace topsoil, and then re-seed. PCN must be submitted when a utility line: <input type="checkbox"/> Results in a discharge of fill into perennial or intermittent waters, or special aquatic sites <input type="checkbox"/> Results in a discharge of fill into greater than 100 linear feet of ephemeral waters; <input type="checkbox"/> Includes construction of an access road, substation or foundation within waters; or <input type="checkbox"/> Does not involve restoration of trenches to pre-project contours and conditions.	



SPD PCN ✓ List for CA



Check	Regional Condition	Compliance Rationale
<input type="checkbox"/>	19. NWPs 13 & 14. For bank stabilization activity(s): <input type="checkbox"/> Activity will use native vegetation, bioengineering design techniques, or a combination thereof. PCN must be submitted when stabilization: <input type="checkbox"/> Involves hard-armoring or non-vegetated/non-bioengineered technique.	
<input type="checkbox"/>	20. NWP 23 (Categorical Exclusions). <input type="checkbox"/> Submit a PCN for all activities. <input type="checkbox"/> Include a copy of the CE. <input type="checkbox"/> Include final agency determinations for ESA, EFH, and NHPA.	
<input type="checkbox"/>	21. NWP 27 (Aquatic Habitat Restoration). PCN must be submitted when the activity: <input type="checkbox"/> Results in a discharge of fill into perennial or intermittent waters, or special aquatic sites; or <input type="checkbox"/> Results in a discharge of fill into greater than 100 linear feet of ephemeral waters.	
<input type="checkbox"/>	22. NWPs 29 and 39 (Residential & Commercial Development). Channelization or relocation of intermittent or perennial drainages is not authorized, except when relocation would result in a net increase in functions.	The activity will not involve NWPs 29 or 39. OR The activity will not involve channelization or relocation of intermittent or perennial drainages. OR The relocation activity will result in a net increase in functions. (Explain why)
<input type="checkbox"/>	23. Waivers (300 & 500 linear foot): PCN must include: <input type="checkbox"/> A narrative description; <input type="checkbox"/> An analysis of the proposed impacts; <input type="checkbox"/> Measures taken to avoid and minimize losses to waters; and <input type="checkbox"/> A compensatory mitigation plan.	
<input type="checkbox"/>	24. NWPs 29, 39, 40, 42, and 43: Must establish and maintain upland vegetated buffers.	
<input type="checkbox"/>	25. NWP 46 (Discharge in Ditches): Will not cause the loss of greater than 0.5 acres of waters or the loss of more than 300 linear feet of ditch.	
<input type="checkbox"/>	26. All NWPs. All NWPs except (see list) are revoked for activities in histosols, fens, bogs and peatlands, and in wetlands contiguous with fens.	The activity would not occur in a histosols, fen, bog, peatland or wetland contiguous with a fen. OR The activity would involve the use of NWP _.



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**LIST OF ADDITIONAL INFORMATION REQUIRED
FOR COMPLETE PRE-CONSTRUCTION NOTIFICATION
FOR CALIFORNIA, NEVADA, AND UTAH**

PRE-CONSTRUCTION NOTIFICATION FOR A DEPARTMENT OF THE ARMY NATIONWIDE PERMIT

A complete PCN may be submitted either through the completion of the *South Pacific Division Preconstruction Notification (PCN) Checklist*¹, or a signed application form (ENG Form 4345)² with an attachment providing information on compliance with all general and regional conditions.

— **Requirements of General Condition 31**

- Name, address and telephone numbers of the prospective permittee
- Location of the proposed project
- Description of the proposed project
- Purpose of the proposed project
- Direct and indirect adverse environmental effects the project would cause
- The anticipated amount of loss of water of the U.S. expected to result from the NWP activity, in acres or linear feet
- Any other NWP(s), regional general permit(s) or individual permit(s) used or intended to be used to authorize any part of the proposed project or any related activity
- Sketches containing sufficient detail to provide an illustrative description of the proposed activity
- Delineation of wetlands, other special aquatic sites, and other waters, such as lakes and ponds, and perennial, intermittent, and ephemeral streams, on the project site, prepared in accordance with the current method required by the Corps.
- A statement describing how mitigation requirements will be satisfied, or explaining why the adverse effects are minimal and why compensatory mitigation should not be required
- The name(s) of endangered or threatened species that might be affected by the proposed work, or utilize the designated critical habitat that may be affected by the proposed work, **or**, for Federal agencies, documentation demonstrating compliance with the Endangered Species Act
- A statement on which historic property(s) may be affected by the proposed work, or a vicinity map indicating the location of the historic property, **or**, for Federal agencies, documentation demonstrating compliance with the National Historic Preservation Act.

— **Requirements of Regional Condition 1**

- A complete *South Pacific Division Preconstruction Notification (PCN) Checklist* or signed application form (ENG Form 4345) with an attachment providing information on compliance with all of the general and regional conditions.
- A written statement describing how the activity has been designed to avoid and minimize adverse effects, both temporary and permanent, to waters of the U.S.
- Drawings clearly depicting the location, size and dimensions of the proposed activity, as well as the location of waters of the U.S. on the site.
 - Plan view
 - Title Block
 - Legend and Scale

Complete PCN ✓ List



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Complete PCN ✓ List



- ___ Amount (in cubic yards) of fill in Corps jurisdiction, including permanent and/or temporary structure or fills
- ___ Area (in acres) of fill in Corps jurisdiction, including permanent and/or temporary structure or fills
- ___ Ordinary high water mark, or, for tidal waters, mean high water mark and high tide line, based on National Geodetic Vertical Datum (NGVD) or other appropriate reference elevation.
- ___ Cross-section view
 - ___ Title Block
 - ___ Legend and Scale
 - ___ Amount (in cubic yards) of fill in Corps jurisdiction, including permanent and/or temporary structure or fills
 - ___ Area (in acres) of fill in Corps jurisdiction, including permanent and/or temporary structure or fills
 - ___ Ordinary high water mark, or, for tidal waters, mean high water mark and high tide line, based on National Geodetic Vertical Datum (NGVD) or other appropriate reference elevation.
- ___ Numbered and dated pre-project color photographs showing a representative sample of waters proposed to be impacted on the site, and all waters of the U.S. proposed to be avoided on and immediately adjacent to the project site. The compass angle and view position of each photograph is identified on the required plan view drawing.

Requirements for Temporary Fill

- ___ A Description of the temporary fill
 - ___ Type and amount of material to be placed in waters of the U.S.
 - ___ Area (in acres) of waters of the U.S. proposed to be impacted
 - ___ Proposed plan for the restoration of the temporary fill area to pre-project contours and conditions
- ___ The reason(s) why avoidance of temporary impacts in waters of the U.S. is not practicable.

Requirements for NWP 13 and 14

- ___ Information on why the sole use of vegetated techniques is not practicable

Requirements for NWP 23

- ___ A copy of the signed categorical exclusion document
- ___ Final agency determination regarding compliance with Section 7 of the Endangered Species Act and Section 106 of the National Historic Preservation Act

Requirements for Request for Waivers

- ___ A narrative description of the stream
 - ___ Known information on volume and duration of flow
 - ___ Known information on the approximate length, width and depth of the waterbody
 - ___ Known information on characteristics observed associated with an ordinary high water mark



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Complete PCN ✓ List



-3-

- ___ Description of the adjacent vegetation community
- ___ A statement regarding the wetland status of the adjacent areas (i.e. wetland, non-wetland)
- ___ Surrounding land uses
- ___ Water quality of the stream
- ___ Issues related to cumulative impacts in the watershed
- ___ An analysis of the proposed impacts to the waterbody
- ___ Measures taken to avoid and minimize losses to waters of the U.S., including other methods of constructing the proposed activity
- ___ A compensatory mitigation plan describing how the unavoidable losses are proposed to be offset, in accordance with 33 CFR 332

¹ The *South Pacific Division Preconstruction Notification (PCN) Checklist*, may be downloaded from the USACE Sacramento District Website at: <http://www.spk.usace.army.mil/organizations/cespk-co/regulatory/nwp.html>

² An *Application for Department of the Army Permit* (ENG Form 4345) may be downloaded from the USACE Sacramento District Website at: <http://www.spk.usace.army.mil/organizations/cespk-co/regulatory/application.html>



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Complete PCN ✓ List



LIST OF ADDITIONAL INFORMATION RECOMMENDED TO EXPEDITE EVALUATION OF NWP PRE-CONSTRUCTION NOTIFICATIONS

- ___ Endangered Species
 - ___ Information sufficient to initiate consultation with the United States Fish and Wildlife Service and/or National Marine Fisheries Service for compliance with Section 7 of the Endangered Species Act. Information required includes:
 - ___ A description of the action to be considered
 - ___ A description of the specific area that may be affected by the action.
 - ___ A description of any listed species or critical habitat that may be affected by the action.
 - ___ A description of the manner in which the action may affect any listed species or critical habitat and an analysis of any cumulative impacts.
 - ___ Relevant reports including any environmental impact statement, environmental assessment or biological assessment prepared.
 - ___ Any other relevant available information on the action, the listed species, or critical habitat.
 - ___ A Biological Assessment sufficient to initiate consultation for compliance with Section 7 of the Endangered Species Act, prepared in accordance with the attached United States Fish and Wildlife Service and National Marine Fisheries Service *Template and Guidance on Preparing an Initiation Package for Endangered Species Act Consultation*.
- ___ Cultural Resources
 - ___ A cultural resources report of archeological/historical resources on/near project site compiled from a record search by a qualified professional archeologist/historian at the regional center in your locale
 - ___ A Cultural Resources Report sufficient to initiate consultation for compliance with Section 106 of the National Historic Preservation Act of 1966, as amended, prepared in accordance with the attached *Sacramento District Regulatory Branch Guidelines for Compliance with Section 106 of the National Historic Preservation Act of 1966, As Amended*
- ___ Compensatory Mitigation
 - ___ A **Draft/Final** Mitigation and Monitoring Plan for the proposed **preserve/ creation/ enhancement/ restoration** areas, completed in accordance with the attached December 30, 2004 *Mitigation and Monitoring Proposal Guidelines* and Corps regulations found at 33 CFR 332. The plan shall include mitigation location and design drawings, vegetation plans, including target species to be planted, and final success criteria.
 - ___ A **Draft/Final** Operations and Management Plan for the proposed preserve area, describing in detail any activities that are proposed within the preserve area(s) and the long term funding and maintenance of each of the preserve areas. An open space preserve operations and maintenance template is attached. /
- ___ Other information: **NOTE TO STAFF: SPECIFICALLY LIST OTHER REQUIRED INFORMATION AND DELETE THIS STATEMENT**



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RGP 5

- RGP 5, *Small Erosion Repair Program (SERP)*
 - ▶ Issued to the California Department of Water Resources
 - ▶ Issued May 6, 2014, Expires May 6, 2019
 - ▶ Authorizes activities within the Sacramento River Flood Control Project (SRFCP) under the SERP.
 - ▶ Authorizes the discharge of up to a maximum of 72,000 cubic yards (5 year total) of soil filled riprap, to repair a maximum of 15 sites each year over 5 years. Each site would involve the maximum of 0.5 acre (1,000 lf) of disturbance below the OHWM/MHWM/HTL for repair of small erosion sites along portions of the SRFCP. No project would exceed 0.5 acre of disturbance or would extend for more than 1,000 feet.



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RGP 5

■ Notification Requirements

- ▶ Submit a completed and signed SERP Project Notification form requesting verification under the RGP (included in Section 5 of the SERP Manual)
- ▶ Must submit a Notification Package containing
 - Completed SERP Project Notification Form
 - Description of how each site would comply with the conditions of the RGP
 - Vicinity map, plan-view, and cross-section drawings showing location, size, and dimensions of activity, OHWM, HTL/MHWM following current standards
 - Delineation of waters of the U.S., meeting current standards
 - Information sufficient to demonstrate compliance with USFWS and NMFS biological opinions
 - Description of measures taken to avoid and minimize impacts
 - Description of historic properties that may be affected
 - Pre-project color photographs of repair site, shoreline, upstream, downstream, and across channel (location identified on plan-view drawings)
 - Evidence have applied for and/or received required permits from CA State Lands Commission



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RGP 8

- Regional General Permit (RGP) 8, *Emergency Actions*

- ▶ Issued January 1, 2015, Expires December 31, 2019
- ▶ Authorizes discharges of dredged or fill material into waters of the U.S. for emergency repair and rehabilitation of previously authorized, currently serviceable, structures or fills, in which the Corps determines an emergency action is necessary, provided the project is initiated within 7 days of receiving authorization from the Corps
- ▶ An emergency incident is an occurrence that presents a clear, sudden, unexpected, and imminent threat to life or property demanding immediate action to prevent or mitigate loss of, or damage to, life, health, property or essential public services activities (i.e. situation which would result in an unacceptable hazard to life, a significant loss of property, or an immediate, unforeseen, and significant economic hardship if corrective action requiring a permit is not undertaken within a time period less than the normal time needed to process the application).



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RGP 8

■ Notification Requirements

- ▶ Name, address, telephone number, email of applicant and agent
- ▶ Location
 - Identification of affected waters
 - Latitude and longitude
 - Boundaries depicted on USGS topographic map or other location map
 - Detailed directions
- ▶ Description of proposed work
 - Drawings with plans and sections with approximate existing contours showing approximate acreage and/or linear feet relative to OHWM/MHWM/limit of wetland
 - Construction details, including type and quantity of materials, equipment to be used
- ▶ Description of existing conditions and anticipated environmental impacts
 - Type and extent of vegetation and/or habitat that would be disturbed or lost
 - Pre-construction photographs



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RGP 8

■ Notification Requirements (cont'd)

- ▶ Proposed measures to avoid and minimize impacts to aquatic environment
- ▶ Description of anticipated impacts on Federally-listed threatened and/or endangered species
 - Description of action to be considered
 - Description of the specific area that may be affected
 - Description of listed species or critical habitat that may be affected
 - Description of the manner in which the action may affect species/critical habitat and analysis of cumulative impacts
 - Relevant reports
 - Other relevant Information
- ▶ Description of any historic properties which may be affected, including vicinity map identifying resources and location
- ▶ Description of how activities will comply with conditions for RGP



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Letter of Permission (LOP)

- Permit issued through abbreviated processing which includes coordination with Federal and state fish and wildlife agencies and public interest evaluation without Public Notice
- May be used
 - ▶ In cases subject to Section 10 of the Rivers and Harbors Act when Corps determines work would be minor, would not have significant individual or cumulative impacts on environmental values and should encounter no appreciable opposition
 - ▶ In cases subject to Section 404 of the Clean Water Act after
 - The Corps, through consultation with applicable agencies develops a list of categories of activities proposed for authorization under LOP procedures
 - Corps issues public notice advertising proposed list and LOP procedures, requesting comments and offering opportunity for a public hearing
 - A Section 401 WQC has been issued/waived, on a generic or individual basis



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LOP (cont'd)

- Section 10 LOP:
 - ▶ Complete Application contains same information as for Standard Permit

- Section 404 LOP
 - ▶ LOP procedures issued by Sacramento District on March 1, 2010 (revised September 16, 2013)
 - ▶ Categories of activities
 - Those which will result in the loss of no more than 1 acre of waters of the U.S. or 500 lf of streambed or bank
 - Would have individual and cumulative minimal effects



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LOP (cont'd)

► Section 404 LOP

- Activities:

- ▷ Residential, commercial, industrial, recreational, agricultural, and municipal development
- ▷ Transportation and infrastructure
- ▷ Energy
- ▷ Utility line repair and maintenance
- ▷ Mining
- ▷ Flood control and storm-water management
- ▷ Research, testing, and monitoring
- ▷ Environmental remediation and restoration
- ▷ Repair, rehabilitation, or maintenance including minor maintenance dredging using existing disposal sites
- ▷ Docks
- ▷ Boat ramps



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Section 404 LOP

■ Notification Requirements

- ▶ Cover letter requesting LOP under procedures, referencing Corps' ID number and contact information
 - Mailing and e-mail address and telephone and fax numbers
- ▶ Completed ENG Form 4345
- ▶ Approve or preliminary JD, including copy of delineation map
- ▶ Site location maps including the proposed activity (and where appropriate mitigation) sites on USGS 7.5 quad sheets, with latitude and longitude, name of quad sheet, direction to the site, and aerial and other imagery
- ▶ Complete description of proposed activity
 - Plan and profile view drawings relative to location of waters of the U.S. showing areas, types and acreages of waters to be impacted
 - Pre-construction of the site and representative aquatic resources with locations identified on plan-view drawings



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Section 404 LOP (cont'd)

■ Notification Requirements

- ▶ Total area (acreage) of waters of the U.S. to be directly/indirectly affected, volume (in cubic yards) and type of material to be placed in waters of the U.S.; description of habitat types, including plant communities within and surrounding the site; description of how activity would affect all of the above resources.
- ▶ Description and graphical representation of how impact to waters of the U.S. and functions have been avoided and minimized to maximum extent practicable
 - Identify all BMPs proposed
- ▶ Description of potential cumulative, secondary, and/or indirect impacts to aquatic resources and human environment
- ▶ Proposed schedule
- ▶ Documentation of pre-application coordination with Corps and other agencies



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Section 404 LOP (cont'd)

- Notification Requirements
 - ▶ Information in report form regarding on-site and off-site practicable alternatives & relative environmental impacts of alternatives
 - ▶ Compensatory mitigation plan in accordance with 33 CFR 332
 - If proposed purchase at mitigation bank, include proposed bank name and type of credits
 - ▶ Copies of state/local approvals, evidence of application for Section 401 WQC or waiver



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Section 404 LOP (cont'd)

■ Notification Requirements

- ▶ Copies of Biological Assessment for impacts to Federally-listed threatened/endangered species
 - Description of action to be considered
 - Description of the specific area that may be affected
 - Description of listed species or critical habitat that may be affected
 - Description of the manner in which the action may affect species/critical habitat and analysis of cumulative impacts
 - Relevant reports
 - Other relevant Information
- ▶ Copies of cultural resources report in accordance with Corps guidelines for compliance with Section 106 of the National Historic Preservation Act



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Standard Permit

- Type of Individual Permit required if project cannot be authorized under NWP, RGP, or LOP
- Generally more than minimal individual/cumulative adverse effects
- Processed through public interest review, including public notice and receipt of comments



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Standard Permit

- Requirements for Complete Application

- ▶ Must submit a completed and signed ENG Form 4345
- ▶ Complete description of proposed activity
 - Necessary drawings, sketches, or plans sufficient for public notice
 - Location, purpose and need for proposed activity
 - Name and addresses of adjoining property owners
 - Location and dimensions of adjacent structures
 - List of authorizations required by other agencies, including approvals and denials made
- ▶ All activities the applicant plans that are reasonably related to same project and would require DA permit should be included in the same application
- ▶ If dredging, application must include description of type, composition and quantity of material to be dredged, method for dredging, and plans for disposal



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Standard Permit

■ Requirements for Complete Application

- ▶ If activity would include discharge of dredged/fill material or transportation of dredge material for ocean disposal, application must include
 - Source of material
 - Purpose of discharge
 - Description of type, composition, and quality of material
 - Method of transportation and disposal
 - Location of disposal site
- ▶ If activity would include construction of filled area or pile or float-supported structure, must include use of, and specific structures to be erected on the fill or platform
- ▶ If activity would involve construction of impoundment structure, applicant may be required to demonstrate that structure complies with established state dam safety criteria or structure has been designed by qualified persons (may be independently reviewed)



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Standard Permit

- Requirements for Complete Application
 - ▶ For discharges into waters of the U.S., must include
 - Statement describing how impacts are to be avoided and minimized
 - Statement describing how impacts are to be compensated for or a statement explaining why compensatory mitigation should not be required



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ENG Form 4345



U.S. ARMY CORPS OF ENGINEERS APPLICATION FOR DEPARTMENT OF THE ARMY PERMIT 33 CFR 325. The proponent agency is CECW-CO-R.			Form Approved - OMB No. 0710-0003 Expires: 30-SEPTEMBER-2015		
<p>Public reporting for this collection of information is estimated to average 11 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of the collection of information, including suggestions for reducing this burden, to Department of Defense, Washington Headquarters, Executive Services and Communications Directorate, Information Management Division and to the Office of Management and Budget, Paperwork Reduction Project (0710-0003). Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. Please DO NOT RETURN your form to either of those addresses. Completed applications must be submitted to the District Engineer having jurisdiction over the location of the proposed activity.</p> <p>PRIVACY ACT STATEMENT</p> <p>Authorities: Rivers and Harbors Act, Section 10, 33 USC 403; Clean Water Act, Section 404, 33 USC 1344; Marine Protection, Research, and Sanctuaries Act, Section 103, 33 USC 1413; Regulatory Programs of the Corps of Engineers; Final Rule 33 CFR 320-332. Principal Purpose: Information provided on this form will be used in evaluating the application for a permit. Routine Uses: This information may be shared with the Department of Justice and other federal, state, and local government agencies, and the public and may be made available as part of a public notice as required by Federal law. Submission of requested information is voluntary; however, if information is not provided the permit application cannot be evaluated nor can a permit be issued. One set of original drawings or good reproducible copies which show the location and character of the proposed activity must be attached to this application (see sample drawings and/or instructions) and be submitted to the District Engineer having jurisdiction over the location of the proposed activity. An application that is not completed in full will be returned.</p>					
(ITEMS 1 THRU 4 TO BE FILLED BY THE CORPS)					
1. APPLICATION NO.		2. FIELD OFFICE CODE		3. DATE RECEIVED	
4. DATE APPLICATION COMPLETE					
(ITEMS BELOW TO BE FILLED BY APPLICANT)					
5. APPLICANT'S NAME First - Middle - Last - Company - E-mail Address -			8. AUTHORIZED AGENT'S NAME AND TITLE (agent is not required) First - Middle - Last - Company - E-mail Address -		
6. APPLICANT'S ADDRESS: Address- City - State - Zip - Country -			9. AGENT'S ADDRESS: Address- City - State - Zip - Country -		
7. APPLICANT'S PHONE NOS. w/AREA CODE a. Residence b. Business c. Fax			10. AGENTS PHONE NOS. w/AREA CODE a. Residence b. Business c. Fax		
STATEMENT OF AUTHORIZATION					
11. I hereby authorize, _____ to act in my behalf as my agent in the processing of this application and to furnish, upon request, supplemental information in support of this permit application.					
SIGNATURE OF APPLICANT			DATE		
NAME, LOCATION, AND DESCRIPTION OF PROJECT OR ACTIVITY					
12. PROJECT NAME OR TITLE (see instructions)					
13. NAME OF WATERBODY, IF KNOWN (if applicable)			14. PROJECT STREET ADDRESS (if applicable) Address		
15. LOCATION OF PROJECT Latitude: °N Longitude: °W			City - State- Zip-		
16. OTHER LOCATION DESCRIPTIONS, IF KNOWN (see instructions) State Tax Parcel ID Municipality Section - Township - Range -					



ENG Form 4345



17. DIRECTIONS TO THE SITE

18. Nature of Activity (Description of project, include all features)

19. Project Purpose (Describe the reason or purpose of the project, see instructions)

USE BLOCKS 20-23 IF DREDGED AND/OR FILL MATERIAL IS TO BE DISCHARGED

20. Reason(s) for Discharge

21. Type(s) of Material Being Discharged and the Amount of Each Type in Cubic Yards:

Type	Type	Type
Amount in Cubic Yards	Amount in Cubic Yards	Amount in Cubic Yards

22. Surface Area in Acres of Wetlands or Other Waters Filled (see instructions)

Acres
or
Linear Feet

23. Description of Avoidance, Minimization, and Compensation (see instructions)



ENG Form 4345



24. Is Any Portion of the Work Already Complete? ☐ Yes ☐ No IF YES, DESCRIBE THE COMPLETED WORK

25. Addresses of Adjoining Property Owners, Lessees, Etc., Whose Property Adjoins the Waterbody (if more than can be entered here, please attach a supplemental list).

a. Address-

City -

State -

Zip -

b. Address-

City -

State -

Zip -

c. Address-

City -

State -

Zip -

d. Address-

City -

State -

Zip -

e. Address-

City -

State -

Zip -

26. List of Other Certificates or Approvals/Denials received from other Federal, State, or Local Agencies for Work Described in This Application.

AGENCY	TYPE APPROVAL*	IDENTIFICATION NUMBER	DATE APPLIED	DATE APPROVED	DATE DENIED

* Would include but is not restricted to zoning, building, and flood plain permits

27. Application is hereby made for permit or permits to authorize the work described in this application. I certify that this information in this application is complete and accurate. I further certify that I possess the authority to undertake the work described herein or am acting as the duly authorized agent of the applicant.

SIGNATURE OF APPLICANT

DATE

SIGNATURE OF AGENT

DATE

The Application must be signed by the person who desires to undertake the proposed activity (applicant) or it may be signed by a duly authorized agent if the statement in block 11 has been filled out and signed.

18 U.S.C. Section 1001 provides that: Whoever, in any manner within the jurisdiction of any department or agency of the United States knowingly and willfully falsifies, conceals, or covers up any trick, scheme, or disguises a material fact or makes any false, fictitious or fraudulent statements or representations or makes or uses any false writing or document knowing same to contain any false, fictitious or fraudulent statements or entry, shall be fined not more than \$10,000 or imprisoned not more than five years or both.



Standard Permit

- What's not required? (but is recommended)
 - ▶ Photographs
 - ▶ Information for compliance with Section 7 of the Endangered Species Act (required before a permit decision is made)
 - ▶ Cultural resources information (required before a permit decision is made)
 - ▶ Alternatives information for compliance with the Section 404(b)(1) Guidelines (required before a permit decision is made if Section 404 activity)
 - ▶ A draft/final mitigation and monitoring plan/long-term management plan for compensatory mitigation (Final plan required before a permit decision is made, if permittee-responsible compensatory mitigation)



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Addn'l Info ✓ List for SPK



LIST OF ADDITIONAL INFORMATION REQUIRED FOR COMPLETE INDIVIDUAL PERMIT APPLICATION¹

APPLICATION FOR A DEPARTMENT OF THE ARMY PERMIT

All information must be submitted by hard copy, and, if available, electronically (as a .pdf file) on a compact disc and/or via email to the Corps Project Manager.

- **General Information (Complete and sign ENG Form 4345)²**
 - Name, address and telephone of the applicant and authorized agent (if used)
 - Signature for statement of authorization designating official agent
 - Project Name or Title
 - Name of Nearest Waterbody, (if known)
 - Project Site Street Address
 - Location of Project (County, State and coordinates)
 - Other Location Descriptions, if known (include Section, Township, Range, Meridian, etc)
 - Total area of the Project Site
 - Directions to the Project Site
- **Nature of Activity**
 - Complete written description of the proposed project
 - All activities which you plan to undertake which are reasonably related to the proposed project and for which a Department of the Army Permit is required.
 - Dimensions of proposed structures/fills and types of material proposed to be used in construction
 - For dredging activities
 - Type, composition and quantity of material to be dredged
 - Method of dredging, equipment used, method used to transport and site and plans for disposal
 - For structures/work in navigable waters
 - Identify specific structures to be constructed or work to be done and the use of the structure/work
 - Method by which work would be conducted (including equipment to be used))
 - Linear distance of waterway that will be impacted for the entire proposed activity
 - If the proposed project involves a discharge of dredged and/or fill material into waters of the U.S.
 - Purpose and Need of the Proposed Project
 - What will the proposed project be used for and why? What is the need for the proposed project?
 - Description of any related activities to be developed as a result of the proposed project
 - Approximate dates planned to begin and complete all work
 - Projects involving the discharge of dredged and/or fill material into waters of the United States
 - Reasons for the discharge (specific purpose of the placement of material)
 - Type of material being discharged and the amount of each type in cubic yards
 - Source of the material to be discharge (e.g. on-site, commercial source, specific off-site location)
 - Surface area of wetlands or other waters proposed to be filled, include type of waters to be filled and linear distance of waterway proposed to be impacted
 - Means by which the discharge is proposed to be done (backhoe, dragline, etc.)
 - Dredged material discharged at an upland site (description and map of the disposal site and steps taken to prevent runoff into any adjacent water(s).)
 - Is any portion of the work complete, if yes, describe the work
 - Names and addresses of adjoining property owners, lessees, etc. whose property adjoins the waterbody
 - List of other certifications or approvals/denials received from other Federal, state or local agencies for work described in application, (e.g. Regional Water Quality Control Board - 401 certification, California Department of Fish and Game - Streambed Alteration Agreement, Other approvals required by City, County, or special districts.
 - For activities that involve the construction of an impoundment structure, information sufficient to demonstrate that



Addn'l Info ✓ List for SPK



the structure complies with established state dam safety criteria

— Mitigation

- An explanation of how impacts associated with the proposed activity are to be avoided and minimized
- An explanation of how impacts associated with the proposed activity are to be compensated
- Amount, type and location of proposed compensatory mitigation (if compensatory mitigation proposed to be conducted through the use of a Corps approved mitigation bank and/or in-lieu fee program, a statement to this effect is sufficient to meet this requirement)

REQUIRED FIGURES AND DIAGRAMS¹

All figures and diagrams should be legible, on 8 1/2 x 11" or 11" X 17" white paper, and include a north arrow, scale, and other pertinent project information.

- Vicinity map
- Scale plan view diagram of existing (pre-project) conditions:
 - Location of all waters of the U.S., including the location of the ordinary high water mark or mean high water mark and high tide line
 - Indicate any existing structures at proposed project site or adjacent to site
- Scale plan view diagram of anticipated conditions following construction of project
 - Location of all waters of the U.S., including the location of the ordinary high water mark or mean high water mark and high tide line
 - Indicate any existing structures at proposed project site or adjacent to site
 - Project boundary, including all access, easement, permanent and temporary construction areas associated with the project
- Cross section or lateral view of proposed activity

¹Application Information and Instructions for a complete permit application and the requirements for project drawings may be found at the USACE Sacramento District Regulatory Website at: www.spk.usace.army.mil/Missions/Regulatory/Permitting.aspx

²An *Application for Department of the Army Permit* (ENG Form 4345) may be downloaded from the USACE Sacramento District Website at: www.spk.usace.army.mil/Missions/Regulatory/Permitting.aspx



Addn'l Info ✓ List for SPK



the structure complies with established state dam safety criteria

— Mitigation

- An explanation of how impacts associated with the proposed activity are to be avoided and minimized
- An explanation of how impacts associated with the proposed activity are to be compensated
- Amount, type and location of proposed compensatory mitigation (if compensatory mitigation proposed to be conducted through the use of a Corps approved mitigation bank and/or in-lieu fee program, a statement to this effect is sufficient to meet this requirement)

REQUIRED FIGURES AND DIAGRAMS¹

All figures and diagrams should be legible, on 8 1/2 x 11" or 11" X 17" white paper, and include a north arrow, scale, and other pertinent project information.

- Vicinity map
- Scale plan view diagram of existing (pre-project) conditions:
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QUESTIONS?