



DEPARTMENT OF THE ARMY
SOUTH PACIFIC DIVISION, U.S. ARMY CORPS OF ENGINEERS
1455 MARKET STREET
SAN FRANCISCO, CALIFORNIA 94103-1399

17 APR 2009

CESPD-PDC


MEMORANDUM FOR Commander, Sacramento District, ATTN: CESPK-PD,
Mr. Gensler

Subject: Review Plan approval for the Sutter Basin, California Feasibility Study.

1. The attached Review Plan for the Sutter Basin, California Feasibility Study has been prepared in accordance with EC 1105-2-410.
2. The Review Plan will be made available for public comment, and the comments received will be incorporated into future revisions of the Review Plan. The Review Plan has been coordinated with the Flood Risk Management Planning Center of Expertise (PCX) of the South Pacific Division which is the lead office to execute this plan. For further information, contact the PCX, Mr. Thaut at 415-503-6852.
3. The Review Plan includes independent external peer review.
4. I hereby approve this Review Plan, which is subject to change as study circumstances require, consistent with study development under the Project Management Business Process. Subsequent revisions to this Review Plan or its execution will require new written approval from this office.

5 Encls

1. District Memo
2. Review Plan
3. FRM-PCX Memo
4. FRM-PCX Checklist
5. SPD Checklist


JANICE L. DOMBI
COL, EN
Commanding



DEPARTMENT OF THE ARMY
U.S. ARMY ENGINEER DISTRICT, SACRAMENTO
CORPS OF ENGINEERS
1325 J STREET
SACRAMENTO, CALIFORNIA 95814-2922

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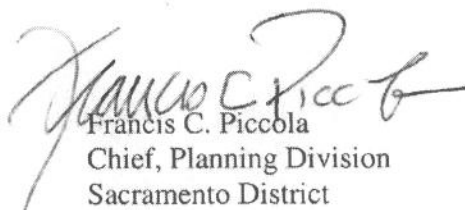
MAR 16 2009

MEMORANDUM FOR: Commander, South Pacific Division (ATTN: CESPD-PD-C,
(Berresford)

SUBJECT: Request for Approval of Review Plan for the Sutter Basin, California Feasibility
Study

1. In accordance with EC 1105-2-410, Review of Decision Documents, dated 22 August 2008, the subject Review Plan is provided for approval by the Commander, South Pacific Division (Enclosure 1). This is the first submittal of a Review Plan for the subject study.
2. This Review Plan is in compliance with the EC and has been coordinated with the applicable Planning Centers of Expertise (PCX). The PCX for Flood Risk Management is designated as the lead PCX, and as such, coordinated the Review Plan with the PCX for Ecosystem Restoration for certain model certifications. The PCX concurrence memorandum is provided as Enclosure 2. Also, enclosed is the SPD Review Plan checklist.
3. Please address any questions about this Review Plan to Mr. Fraser Gensler, who is lead water resource project planner. Upon approval of this Review Plan, please provide notification to this office so we can post it to the Sacramento District public website. Upon posting of the approved Review Plan, the District will notify the vertical team. I appreciate your quick attention to this matter.

Sincerely,


Francis C. Piccola
Chief, Planning Division
Sacramento District

Encls

REVIEW PLAN

SUTTER BASIN, CALIFORNIA
FLOOD RISK MANAGEMENT, ECOSYSTEM RESTORATION AND RECREATION
FEASIBILITY STUDY

SACRAMENTO DISTRICT



**US Army Corps
of Engineers®**

MARCH 2009

**REVIEW PLAN
SUTTER BASIN, CALIFORNIA
FLOOD RISK MANAGEMENT, ECOSYSTEM RESTORATION AND RECREATION
FEASIBILITY STUDY
SACRAMENTO DISTRICT**

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REVIEW PLAN

SUTTER BASIN, CALIFORNIA

FLOOD RISK MANAGEMENT, ECOSYSTEM RESTORATION AND RECREATION

FEASIBILITY STUDY

SACRAMENTO DISTRICT

1. PURPOSE AND REQUIREMENTS

A. Purpose.

This document outlines the Review Plan for the Sutter basin, California, Flood Risk Management, Ecosystem Restoration and Recreation Feasibility Study. This feasibility study process is anticipated to culminate in a decision document to Congress for potential authorization of a new project. Engineering Circular (EC) *Review of Decision Documents*, EC 1105-2-410, dated 22 August 2008, establishes the technical and overall quality control review processes for decision documents. That EC applies to all feasibility studies and reports and any other reports that lead to decision documents that require authorization by Congress. The Sutter basin Feasibility Report is anticipated to result in recommendations to Congress for authorization of a project and is therefore covered by this EC.

EC 1105-2-410 formally distinguishes between technical review performed in-district (District Quality Control, "DQC") and out-of-district resources (formerly Independent Technical Review, "ITR," now Agency Technical Review, "ATR"). It also defines the requirement for Independent External Peer Review (IEPR); this is the most independent level of review and is applied in cases that meet certain criteria where the risk and magnitude of a proposed project are such that a critical examination by a qualified team outside of the U.S. Army Corps of Engineers (USACE) is warranted.

B. Requirements.

EC 1105-2-410 outlines the requirement of the three review approaches (DQC, ATR, and IEPR) and provides guidance on Corps Planning Centers of Expertise (PCX) involvement in the approaches. This document addresses review of the decision document as it pertains to both approaches and planning coordination with the appropriate PCX. The Sutter basin, California, Feasibility Study will investigate flood risk management (FRM), ecosystem restoration (ER), and recreation issues in the study area. The non-Federal partners have expressed a strong desire that FRM be considered the primary focus of the feasibility study, while identifying opportunities for ecosystem restoration and recreation where they are consistent with FRM features. Therefore, the PCX for FRM is considered to be the primary PCX for coordination. The PCX for FRM will coordinate with the PCX for ER as appropriate.

(1) District Quality Control. DQC is the review of basic science and engineering work products focused on fulfilling the project quality requirements defined in the Sutter basin, Feasibility Study Project Management Plan (PMP), dated April 2008, for the study (to which this Review Plan will ultimately be appended). It is managed in the District and may be conducted by in-house staff as long as the reviewers are not doing the work involved in the study, including contracted work that is being reviewed. Basic quality control tools include a Quality Management Plan (QMP) providing for seamless review, quality checks and reviews, supervisory reviews, Project Delivery Team (PDT) reviews, etc. The chief of the district element that is responsible for the hydrological analysis shall certify the hydrology prior to the first milestone

conference in the feasibility phase. Additionally, the PDT is responsible for a complete reading of the report to assure the overall integrity of the report, technical appendices and the recommendations before the approval by the District Commander. For the Sutter basin Feasibility Study, non-PDT members and/or supervisory staff will conduct this review for major draft and final products, including products provided by the non-Federal sponsors as in-kind services following review of those products by the PDT. The Major Subordinate Command (MSC)/District are directly responsible for the QM and QC respectively, and to conduct and document this fundamental level of review. A Quality Control Plan (QCP) is included in the PMP for the subject study and addresses DQC by the MSC/District. Funding for DQC will be provided by cross charge labor codes and is estimated to cost approximately \$25,000. DQC is required for this study and is not addressed further in this Review Plan.

(2) Agency Technical Review. EC 1105-2-410 recharacterized ATR (which replaces the level of review formerly known as Independent Technical Review) into an in-depth review, managed within USACE, and conducted by a qualified team outside of the home district that is not involved in the day-to-day production of a project/product. The purpose of this review is to ensure the proper application of clearly established criteria, regulations, laws, codes, principles and professional practices. The ATR team reviews the various work products and assures that all the parts fit together in a coherent whole. ATR teams will be comprised of senior USACE personnel (Regional Technical Specialists (RTS), etc.) and may be supplemented by outside experts as appropriate. To assure independence, the leader of the ATR team shall be from outside the home MSC. EC 1105-2-410 requires that DrChecks <https://www.projnet.org/projnet/> be used to document all ATR comments, responses, and associated resolution accomplished. This Review Plan outlines the proposed approach to meeting this requirement for the Sutter Basin, California, Feasibility Study. ATR is required for this study.

(3) Independent External Peer Review. EC 1105-2-410 recharacterized the external peer review process that was originally added to the existing Corps review process via EC 1105-2-408. IEPR is the most independent level of review, and is applied in cases that meet certain criteria where the risk and magnitude of the proposed project are such that a critical examination by a qualified team outside of USACE is warranted. IEPR is managed by an outside eligible organization (OEO) that is described in the Internal Review Code Section 501(c) (3), is exempted from Federal tax under Section 501(a), of the Internal Revenue Code of 1986; is independent; is free from conflicts of interest; does not carry out or advocate for or against Federal water resources projects; and has experience in establishing and administering IEPR panels. The OEO will recruit and select the IEPR panel members. The Corps will not nominate prospective panel members, nor will the public, including scientific or professional societies be asked to nominate potential IEPR panel members. The scope of review will address all the underlying planning, engineering, including safety assurance, economics, and environmental analyses performed, not just one aspect of the project. The IEPR will be on the technical aspects of the project while the ATR will be responsible for the agency and administration's policy review. This Review Plan outlines the planned approach to meeting this requirement for the Sutter Basin, California, Feasibility Study. IEPR is required for this study.

(4) Policy and Legal Compliance Review. In addition to the technical reviews, decision documents will be reviewed throughout the study process for their compliance with law and policy. These reviews culminate in Washington-level determinations that the recommendations in the reports and the supporting analyses and coordination comply with law and policy, and warrant approval or further recommendation to higher authority by the Chief of Engineers. Guidance for policy and legal compliance reviews is addressed further in Appendix H, ER 1105-2-100. Technical review described in EC 105-2-410 are to augment and complement the policy

review processes by addressing compliance with published Army policies pertinent to planning products, particularly policies on analytical methods and the presentation of findings in decision documents. DQC and ATR efforts are to include the necessary expertise to address compliance with published planning policy. Counsel will generally not participate on ATR teams, but may at the discretion of the district or as directed by higher authority. When policy and/or legal concerns arise during DQC or ATR efforts that are not readily and mutually resolved by the PDT and the reviewers, the District will seek issue resolution support from the MSC and HQUSACE in accordance with the procedures outlined in Appendix H ER 1105-2-100. IEPR teams are not expected to be knowledgeable of Army and administration policies, nor are they expected to address such concerns. An IEPR team should be given the flexibility to bring important issues to the attention of decision makers. Legal reviews will be conducted concurrent with ATR of the preliminary, draft and final feasibility report and environmental impact statement.

(5) Planning Center of Expertise (PCX) Coordination. EC 1105-2-410 outlines PCX coordination in conjunction with preparation of the Review Plan. This Review Plan is being coordinated with the PCX for Flood Risk Management (FRM), who in turn will coordinate with the PCX for Ecosystem Restoration (ER) as appropriate. The PCX for FRM is responsible for the accomplishment and quality of ATR and IEPR for the Sutter basin, California, Feasibility Study. The DQC is the responsibility of the MSC/District. The PCX for FRM may conduct the review or manage the ATR and IEPR reviews to be conducted by others.

(6) Review Plan Approval and Posting. In order to ensure the Review Plan is in compliance with the principles of EC 1105-2-410 and the MSC's QMP, the Review Plan must be approved by the applicable MSC, in this case the Commander, South Pacific Division (SPD). Once the Review Plan is approved, the District will post it to its district public website and notify SPD and the PCX for FRM.

(7) Review Plan Public Comment. The district's public internet website to which the Review Plan will be posted shall include provisions for public comment on the adequacy of this Review Plan. Full consideration will be given to public comments on this plan and plan revisions made, and approved, as warranted.

(8) Safety Assurance Review (SAR). In accordance with Section 2034 and 2035 of WRDA 2007, EC 11052-410, and pending additional guidance requires that all projects addressing flooding or storm damage reduction undergo a SAR during design and construction. Safety assurance factors (significant threat to human life, project cost thresholds, etc) must be considered in the planning and studies phases and in all reviews for those studies. Updated guidance on the civil works review process including implementation guidance for Section 2034 and 2035 is under development. This study will address safety assurance factors, which at a minimum will be included in the draft report and appendixes for public and agency review. Prior to preconstruction engineering and design (PED) of the identified for construction, a PMP will be developed that will include SAR's with the selection of external panels to perform the independent external peer reviews during design and construction.

2. STUDY INFORMATION

A. Decision Document.

The purpose of the study is to identify flood risk, ecosystem restoration and recreation-related issues in the study area. The decision document, a General Investigation Feasibility Study report, is expected to be the basis for a recommendation to Congress for authorization of a new project. The report will present planning, engineering, and implementation details of the recommended

plan to allow final design and construction to proceed subsequent to approval of the recommended plan. The project is a General Investigations study undertaken to evaluate structural and non-structural FRM measures including re-operation of existing reservoirs, improvements to existing levees, construction of new levees, and other storage, conveyance and non-structural options. ER measures would likely include restoration of floodplain function and habitat. Recreation measures include those outdoor recreation opportunities associated with sustainable water resource development. The feasibility phase of this project is cost shared 50 percent Federal, 50 percent non-Federal with the project sponsors, the State of California Central Valley Flood Protection Board (CVFPB) and the Sutter Butte Flood Control Agency (SBFCA).

B. General Site Description.

The study area is that area hydraulically connected to Yuba City, California and roughly bounded by the Feather River, Sutter Bypass, Wadsworth Canal, Sutter Buttes, and Cherokee Canal (see Figure 1). The elongated, irregularly shaped study area covers about 192 square miles and is about 43 miles long, north to south, and up to 9 miles wide east to west. Flood waters potentially threatening the study area originate from the Feather River watershed or the upper Sacramento River watershed, above Colusa Weir. These waterways have drainage areas of 5,921 and 12,090 square miles, respectively.

C. Study Scope.

The study will focus on alternatives within the study area that are comprised of FRM, ER and recreation management measures. The non-Federal sponsors are primarily interested in reducing flood risk to Yuba City and other communities in the study area, as well as protecting public infrastructure. They are also interested in pursuing opportunities to restore degraded ecosystems and improve outdoor recreation, either as adjuncts to flood risk management features or as stand alone features. This could include participation by other non-Federal partners.

D. Problems and Opportunities.

The study area is almost completely bounded by project levees and the high ground of the Sutter Buttes. Consequently, the primary flood-related problems in the study area are associated with potential levee failure. Opportunities for reducing flood risk could be associated with increasing levee integrity, building new levees, altering waterway flow regimes as affected by upstream reservoirs, providing new bypasses, and non-structural measures to accommodate flood events and improve public safety.

Primary ecosystem problems are related to the construction of levees and drainage facilities that have separated waterways from historic floodplains, drained wetlands, and eliminated historic drainage courses for agricultural and urban development purposes. Other ecosystem problems resulted from depositing mine tailings along riparian corridors and the construction of reservoirs that have altered historic flow regimes, both of which have resulted in loss of floodplain processes and associated native habitats. Opportunities to restore degraded ecosystems are those which would re-connect former floodplains and wetlands with the waterways from which they have been separated, re-grading mine tailing areas, enhancing or protecting interior drainage corridors, and by operating reservoirs to provide more “natural” flow regimes.

E. Potential Methods.

Potential structural FRM measures include building new levees to protect urban areas, rehabilitating project levees in place, realigning levees to improve hydraulic and/or foundation conditions and reduce maintenance requirements, constructing a relief structure to reduce backwater flooding, dredging, modifying upstream reservoirs, and constructing/modifying weirs and bypasses. Non-structural floodplain management measures, such as relocating or raising structures, development restrictions, flood warning systems, and improved emergency

preparedness, will also be considered. For ecosystem restoration, measures range from restoring riparian, wetland, and floodplain habitats through conservation easements to re-aligning levees to restore hydraulic connectivity between former riparian areas and adjacent waterways, and possibly re-operating existing reservoirs to provide beneficial flows.

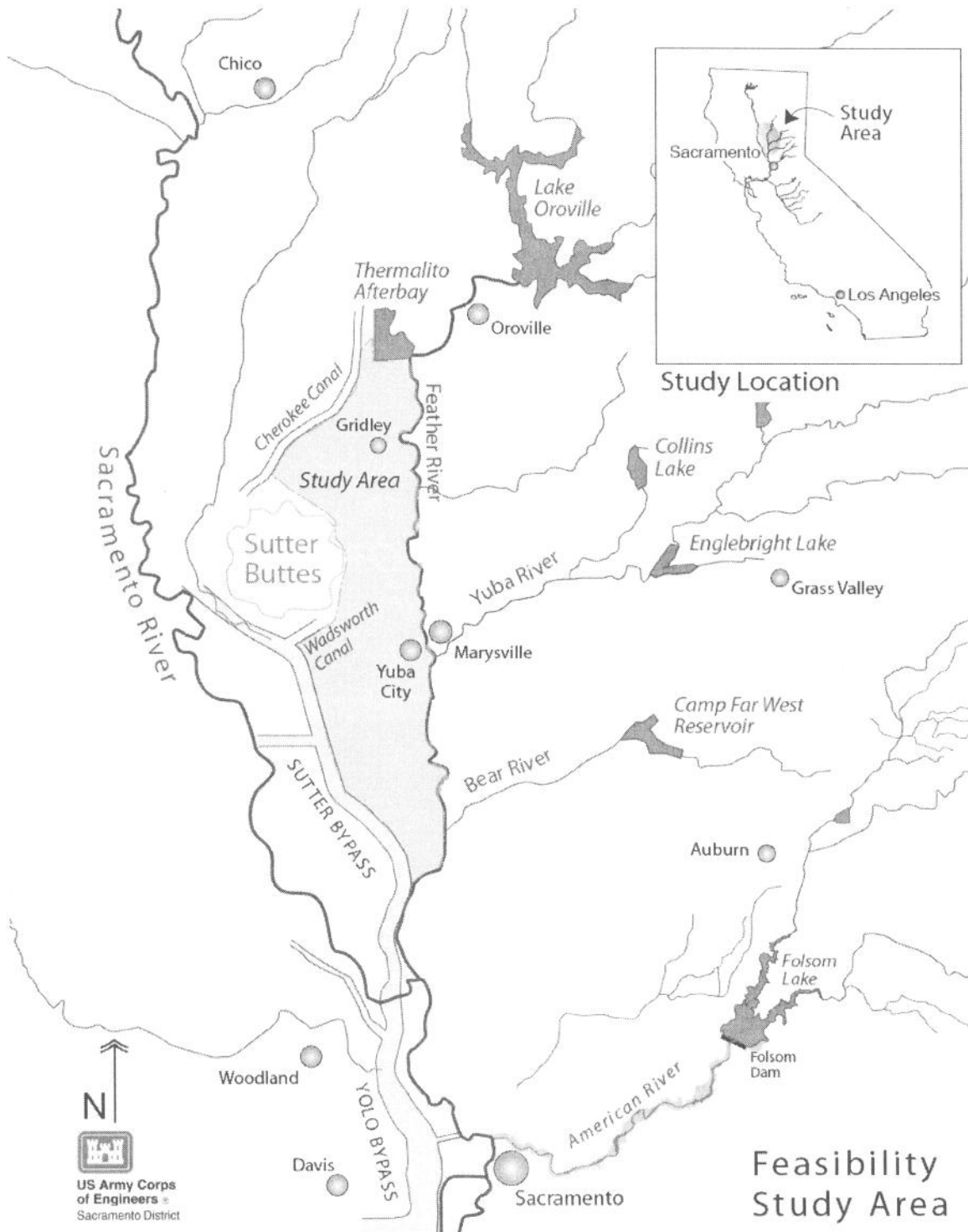


Figure 1. Sutter Basin Feasibility Study Area Vicinity and Location

3. AGENCY TECHNICAL REVIEW PLAN

For feasibility studies, ATR is managed by the PCX. For this feasibility study, due to the heavy emphasis on flood risk management, the PCX for FRM will identify individuals to perform ATR. District can provide suggestions on possible reviewers.

A. General.

An ATR Leader shall be designated by the PCX for the ATR process. The proposed ATR Leader for this project is to be selected from outside the South Pacific Division region and will have expertise in project planning. The ATR Leader is responsible for providing information necessary for setting up the review, communicating with the PDT, providing a summary of critical review comments, collecting grammatical and editorial comments from the ATR team (ATRT), ensuring that the ATRT has adequate funding to perform the review, facilitating the resolution of the comments, and certifying that the ATR has been conducted and resolved in accordance with policy. ATR will be conducted for project planning, environmental compliance, ecosystem restoration, economics, hydrology and reservoir operations, hydraulic design, civil design, geotechnical engineering, cost engineering, real estate, and cultural resources. Reviews of more specific disciplines maybe identified as appropriate.

B. Agency Technical Review Team (ATRT).

The ATRT will be comprised of individuals that have not been involved in the development of the decision document and will be chosen based on expertise, experience, and/or skills. The members will roughly mirror the composition of the PDT and will reside outside the District. It is anticipated that the team will consist of about 12 reviewers. The ATRT members will be identified at the time the review is conducted and will be presented in appendix B. Also included in Appendix are descriptions of the disciplines that comprise the ATRT.

C. Communication.

The communication plan for the ATR is as follows:

(1) The team will use DrChecks to document the ATR process. The lead planner or project manager will facilitate the creation of a project portfolio in the system to allow access by all PDT and ATRT members. An electronic version of the document, appendices, and any significant and relevant public comments shall be posted in MS Office compatible format at: <ftp://ftp.usace.army.mil/pub/> at least one business day prior to the start of the comment period.

(2) The ATR Leader and team members shall access the “ftp” site and download individual documents as appropriate for their respective reviews.

(3) The PDT shall host an ATR kick-off meeting to orient the ATRT during the first week of the comment period. If funds are not available for an on-site meeting, the PDT shall provide a presentation about the project, including photos of the site, for the team.

(4) The lead planner shall inform the ATR manager when all responses have been entered into DrChecks and conduct a briefing to summarize comment responses to highlight any areas of disagreement.

(5) A revised electronic version of the report and appendices with comments incorporated shall be posted at <ftp://ftp.usace.army.mil/pub/> for use during back checking of the comments.

(6) PDT members shall contact ATRT members or leader as appropriate to seek clarification of a comment's intent or provide clarification of information in the report. Discussions shall occur outside of DrChecks but a summary of discussions may be provided in the system.

(7) ATRT members will be encouraged to contact PDT members directly via email or phone to clarify any confusion. DrChecks shall not be used to post questions needed for clarification.

(8) The ATRT, the PDT, and the vertical team shall conduct an after action review (AAR) no later than 2 weeks after the policy guidance memo is received from HQUSACE for the for the AFB and draft reports.

D. Funding

(1) The PDT district shall provide labor funding by cross charge labor codes. Funding for travel, if needed, will be provided through government order. The project manager will work with the ATR manager to ensure that adequate funding is available and is commensurate with the level of review needed. The cost for this review is estimated to be in the range of \$100,000 to \$150,000. Any funding shortages will be negotiated on a case by case basis and in advance of a negative charge occurring.

(2) The ATR team leader shall provide organization codes for each ATR team members and a responsible financial point of contact (CEFMS responsible employee) for creation of labor codes.

(3) ATR team members shall monitor individual labor code balances and alert the ATRT Leader to any possible funding shortages.

E. Timing and Schedule

(1) Throughout the development of this document, the team will conduct seamless review to ensure planning quality.

(2) The ATR team will participate in the required Technical Review Strategy Session (TRSS) along with the PDT (including non-Federal sponsors), functional chiefs, and MSC representatives. The TRSS will review the PMP Quality Control Plan, the level of proposed reviews, the costs and schedules for proposed reviews identify the documents and timing for reviews, and identify policy or technical issues that need to be brought to the attention of CESPDP for resolution. The TRSS is planned for September 2009.

(3) The ATR team in conjunction with a Value Engineering (VE) officer will conduct a VE study prior to the F4 conference. The VE study is expected to last about four days. The results of the VE study will be presented in the Feasibility Report and integrated into the discussion of alternative formulation.

(4) The ATR team will review the following documents:

- Alternative Review Conference (ARC) Pre-Conference Document (Planning Milestone F4) and Alternative Formulation Briefing (AFB) Pre-Briefing Document (Planning Milestone F4A) – this will be a single review with the F4A document serving as a backcheck for the F4 document.
- Draft Feasibility Report/Environmental Impact Statement/Environmental Impact

- Report (Planning Milestone F5)
- Final Feasibility Report/Environmental Impact Statement/Environmental Impact Report (Planning Milestone F6)

(5) The PDT will hold a “page-turn” session to review the draft report to ensure consistency across the disciplines and resolve any issues prior to the start of ATR.

(6) The ATR process for this document will follow the following timeline. Actual dates will be scheduled once the period draws closer. All products produced for these milestones will be reviewed, including those produced as in-kind services by the non-Federal sponsors.

ATR Timeline

Task	Date
ATR Alt Review Conf materials	May 2010
Alternatives Review Conference (F4)	July 2010
Alternative Formulation Briefing (F4A) – ATR backcheck of F4 materials	January 2011
ATR for Draft Report	April 2011
Draft Feasibility Report (F5)	June 2011
Public Review of Draft Report (F6)	July 2011
ATR Certification Final Report	September 2011
ATR After Action	October 2011
Final District Report Review	December 2011

F. Review

(1) ATRT responsibilities are as follows:

- (a) Reviewers shall review conference materials and the draft report, as well as interim products as appropriate, to confirm that work was done in accordance with established professional principles, practices, codes, and criteria and for compliance with laws and policy. Comments on the report shall be submitted into DrChecks.
- (b) Reviewers shall pay particular attention to one’s discipline but may also comment on other aspects as appropriate. Reviewers that do not have any significant comments pertaining to their assigned discipline shall provide a comment stating this.
- (c) Grammatical and editorial comments shall not be submitted into DrChecks. Comments should be submitted to the ATR Leader via electronic mail using tracked changes feature in the MS Office compatible document or as a hard copy mark-up. The ATR Leader shall provide these comments to the lead planner.
- (d) Review comments shall contain these principal elements:
 - 1 a clear statement of the concern
 - 2 the basis for the concern, such as law, policy, or guidance
 - 3 significance for the concern
 - 4 specific actions needed to resolve the comment
- (e) The “Critical” comment flag in DrChecks shall not be used unless the comment is discussed with the ATR Leader and/or the lead planner first.

(2) PDT Team responsibilities are as follows:

(a) The team shall review comments provided by the ATRT in DrChecks and provide responses to each comment using “Concur”, “Non-Concur”, or “For Information Only”. *Concur* responses shall state what action was taken and provide revised text from the report if applicable. *Non-Concur* responses shall state the basis for the disagreement or clarification of the concern and suggest actions to negotiate the closure of the comment.

(b) PDT members shall discuss any “non-Concur” responses prior to submission with the PDT and ATRT Leader.

G. Resolution

(1) Reviewers shall back check PDT responses to the review comments and either close the comment or attempt to resolve any disagreements. Conference calls shall be used to resolve any conflicting comments and responses.

(2) A reviewer may close a comment if the comment is addressed and resolved by the response, or if the reviewer determines that the comment was not a valid technical comment as a result of a rebuttal, clarification, or additional information, or because the comment was advisory, primarily based on individual judgment or opinion, or editorial. If reviewer and responder cannot resolve a comment, it should be brought to the attention of the ATR Leader and, if not resolved by the ATR Leader, it should be brought to the attention of the planning chief who will need to sign the certification. ATRT members shall keep the ATR Leader informed of problematic comments. The vertical team will be informed of any policy variations or other issues that may cause concern during HQ review.

H. Certification

ATR certification is required for the AFB, draft report, and final report. See Appendix A for ATR certification statement.. A summary report of all comments and responses will follow this statement and accompany the report throughout the report approval process.

4. INDEPENDENT EXTERNAL PEER REVIEW PLAN

This decision document will present the details of a feasibility study undertaken to evaluate structural and non-structural FRM, ER, and recreation measures to address problems in the study area. EC 1105-2-410 states thresholds that trigger an IEPR: “In cases where there are public safety concerns, a high level of complexity, novel or precedent-setting approaches; where the project is controversial, has significant interagency interest, has a total project cost greater than \$45 million, or has significant economic, environmental and social effects to the nation, IEPR will be conducted.”

This study is not expected to contain influential scientific information nor be a highly influential scientific assessment. There are urbanized areas within the study area that have experienced fatalities in past flood events so there are high public safety concerns. The risk of project failure would be profound because of the growing urban areas and the enormous economic losses and the threat to public health and safety that could result. The study will be highly complex and challenging because of the extensive river and tributary system; the existing reservoir and levee system; and the high degree of urbanization. This project has the potential to be controversial and will likely have significant agency and public interest (as evidenced by the Sacramento and San Joaquin River Basins Comprehensive Study). It can be assumed that the ultimate cost associated

with a recommended plan is likely to be in the high hundreds of millions of dollars range. For these reasons, IEPR will be conducted. IEPR is currently estimated to be \$200,000. IEPR is a project cost. The IEPR panel review will be federally funded. In-house costs associated with obtaining the IEPR panel contract as well as responding to IEPR comments will be cost shared expenses. It is not anticipated that the public, including scientific or professional societies, will be asked to nominate potential external peer reviewers.

Since this study has high public safety concerns, a high level of complexity, will have potentially controversial recommendations and significant interagency interest, will recommend a project greater than \$45 million in costs and is likely to have significant economic and environmental effects, it will include a comprehensive IEPR component.

Disciplines that are anticipated to undergo IEPR are listed in Appendix B with experience and qualifications equal or above the ATR member requirements. Work undertaken as part of these technical disciplines is considered to be highly complex due to the size of the study area as well as the existing complex water storage and conveyance system in the study area. Specific factors for this determination are (1) the large population center; (2) the complex existing levee and water conveyance system; (3) through-levee seepage, under-levee seepage and subsidence issues associated with the existing levees; (4) and the complex hydraulic system and associated floodplain. Of these products that will undergo IEPR, all will be reviewed by the PDT and undergo DQC and ATR prior to submittal for IEPR. This includes products that are produced by the non-Federal sponsors as in-kind services.

A. Products for Review.

Interim products for hydrology, hydraulic and geotechnical design and economics will be provided before the draft report is released for public review. The full IEPR panel will receive the entire draft feasibility report, environmental impact statement and all technical appendixes concurrent with public and agency review. The final report to be submitted by the IEPR panel must be submitted to the PDT within 60 days of the conclusion of public review. A representative of the IEPR panel must attend any public meeting(s) held during public and agency review of the draft report. The Sacramento District will draft a response to the IEPR final report and process it through the vertical team for discussion at the Civil Works Review Board (CWRB). An IEPR panel member must attend the CWRB. Following the CWRB, the Corps will issue final response to the IEPR panel and notify the public.

B. Communication and Resolution.

The communication plan for the IEPR is as follows:

(1) The panel will use DrChecks to document the IEPR process. The Study Manager will facilitate the creation of a project portfolio in the system to allow access by all PDT and the OEO. An electronic version of the document, appendices, and any significant and relevant public comments shall be posted in MS Office compatible format at: <ftp://ftp.usace.army.mil/pub/> at least one business day prior to the start of the comment period.

The OEO will compile the comments of the IEPR panelists, enter them into DrChecks, and forwards the comments to the District. The District will consult the PDT and outside sources as necessary to develop a proposed response to each panel comment. The District will enter the proposed response to DrChecks, and then return the proposed response to the panel. The panel will reply to the proposed response through the OEO, again using DrChecks. This final panel reply may or may not concur with the District's proposed response and the panels final response will indicate concurrence or briefly explain what issue is blocking concurrence. There will be no final closeout iteration. The District will consult the vertical team and outside resources to

prepare an agency response to each comment. The initial panel comments, the District's proposed response, the panels reply to the District's proposed response, and the final agency response will all be tracked and archived in DrChecks for the administrative record. However, only the initial panel comments and the final agency responses will be posted.

(2) The Outside Eligible Organization and IEPR panel members shall utilize the "ftp" site to access the appropriate individual documents for review.

(3) The Study Manager shall inform the IEPR panel when all responses have been entered into DrChecks and conduct a briefing to summarize comment responses to highlight any areas of disagreement.

(4) A revised electronic version of the report and appendices with comments incorporated shall be posted at <ftp://ftp.usace.army.mil/pub/> for use during back checking of the comments.

(5) The PDT shall contact the OEO for the IEPR panel as appropriate to seek clarification of a comment's intent or provide clarification of information in the report. Discussions shall occur outside of DrChecks but a summary of discussions may be provided in the system.

(6) The IEPR panel shall produce a final Review Report to be provided to the PDT not later than 60 days after the close of the public and agency review of the draft report. This final report shall be scoped as part of the effort to engage the IEPR panel. After District review of the final report a teleconference will held between the IEPR panel and the PDT to clarify reviewer's comments and discuss issue resolution. The Sacramento District will draft a response report to the IEPR final report and process it through the vertical team for discussion at the CWRB. Following direction at the CWRB and upon satisfactorily resolving any relevant follow-on actions, the Corps will finalize its response to the IEPR Review Report and will post both the Review Report and the Corps final responses to the public website.

D. Funding

The PCX for FRM will identify someone independent from the PDT to scope the IEPR and develop an Independent Government Estimate. The Sacramento District will provide funding to the IEPR panel. The cost of the IEPR is expected to be in the range of \$150,000 to \$300,000.

5. MODEL CERTIFICATION

For the purposes of this Review Plan section, planning models are defined as any models and analytical tools that planners use to define water resources management problems and opportunities, to formulate potential alternatives to address the problems and take advantage of the opportunities, to evaluate potential effects of alternatives and to support decision-making. It includes all models used for planning, regardless of their scope or source, as specified in the following sub-paragraphs. This section does not cover engineering models used in planning which are certified under a separate process.

The computational models to be employed in the Sutter basin, California, Feasibility Study have either been developed by or for the USACE. Model certification and approval for all identified planning models will be coordinated through the PCX as needed. Project schedules and resources will be adjusted to address this process for certification and PCX coordination. They are:

1. HEC-FDA (Current working version undergoing review for certification; expected to be

certified within the first 1 year of the study): This model, developed by the Corps' Hydrological Engineering Center, will assist the PDT in applying risk analysis methods for flood damage reduction studies, including structural and non-structural measures, as required by, EM 1110-2-1419. This program:

- Provides a repository for the hydraulic, economic, hydrologic, and geotechnical data required for the damage analysis
 - Provides the tools needed to understand the flooding problem and aids in the formulation of alternatives from an economic and engineering performance perspective
 - Calculates the Expected Annual Damages and the Equivalent Annual Damages
 - Computes the Annual Exceedence Probability, long-term risk, and the Conditional Non-Exceedence Probability
 - Implements the risk-based analysis procedures contained in EM 1110-2-1619
2. Various Habitat Evaluation Procedure models. The Ecosystem Restoration Planning Center of Expertise has responsibility for approving ecosystem output methodologies for use in ecosystem restoration planning and mitigation planning. The Ecosystem PCX will need to certify or approve for use each regionally modified version of these methodologies and individual models and guidebooks used in application of these methods. The PDT will coordinate with the Ecosystem PCX during the study to identify appropriate models and certification approval requirements.
 3. IWR-Planning Suite (Certified). This software assists with the formulation and comparison of alternative plans. While IWR-PLAN was initially developed to assist with environmental restoration and watershed planning studies, the program can be useful in planning studies addressing a wide variety of problems. IWR-PLAN can assist with plan formulation by combining solutions to planning problems and calculating the additive effects of each combination, or "plan." IWR-PLAN can assist with plan comparison by conducting cost effectiveness and incremental cost analyses, identifying the plans which are the best financial investments and displaying the effects of each on a range of decision variables.

The following are considered to be engineering models as opposed to planning models and undergo a different review and approval process for usage. Engineering tools anticipated to be used in this study are:

1. MCACES or MII: These are cost estimating models.
2. HEC-HMS: By applying this model the PDT is able to:
 - Define the watersheds' physical features
 - Describe the meteorological conditions
 - Estimate parameters
 - Analyze simulations
 - Obtain GIS connectivity
3. HEC-ResSim: This model predicts the behavior of reservoirs and to help reservoir operators plan releases in real-time during day-to-day and emergency operations. The following describes the major features of HEC-ResSim
 - Graphical User Interface
 - Map-Based Schematic
 - Rule-Based Operations
4. HEC-RAS: The function of this model is to complete one-dimensional hydraulic calculations for a full network of natural and man made channels. HEC-RAS major capabilities are:

- User interface
 - Hydraulic Analysis
 - Data storage and Management
 - Graphics and reporting
5. HEC-1: This is a watershed program model that simulates the precipitation-runoff process. Precipitation runoff, channel routing. Reservoir routing, diversions, and hydrograph combinations are used to estimate hydrographs at various locations. Other capabilities include automatic parameter estimation and flood damage analysis. This model is limited to single event analysis and does not account for downstream backwater conditions.
 6. HEC-5: This model simulates the sequential operation of a system of reservoirs for short interval historical or synthetic floods, long duration non-flood periods, or combinations of the two. This can be used to evaluate reservoir systems to determine storage requirements, changes in runoff distribution, operational criteria, energy generation demands and capabilities, and compare alternatives.
 7. UNET: This computer model, developed by Dr. Robert Barkau, is designed to simulate unsteady flow through a full network of open channels, weirs, bypasses, and storage areas.
 8. FLO-2D: This model will be used for the overbank reaches.
 9. Groundwater Modeling System (GMS): The SEEP2D model embedded within the GMS graphical user interface is used to conduct finite-element two-dimensional seepage analysis. This is primarily used to evaluate:
 - a. Levee underseepage
 - b. Levee through-seepage
 10. UTEXAS4: This model is used in conjunction with GMS/SEEP2D to conduct slope stability analysis. This program searches for the lowest factor of safety for static stability for circular and non-circular failure surfaces using a limit-equilibrium method. This model is used primarily to evaluate:
 - a. Long-term static stability of levees
 - b. Stability of levees during construction loading
 - c. Stability of levees during seismic loading
 - d. Stability of levees during rapid-drawdown conditions

6. PUBLIC AND AGENCY REVIEW

The public and agencies will have opportunities to participate in this study. The earliest opportunity will be as part of the public scoping process during the first year of the study. Public review of the draft feasibility report will occur after issuance of the AFB policy guidance memo and concurrence by HQUSACE that the document is ready for public release. As such, public comments other than those provided at any public meetings held during the planning process will not be available to the review teams. Public review of the draft report will begin approximately 1 month after the completion of the ATR process and policy guidance memo. The period will last a minimum of 45 days as required for an Environmental Impact Statement. One or more public workshops will be held during the public and agency review period. The final public meeting on the draft report (Milestone F6) is scheduled for June 2011. Comments received during the public comment period for the draft report could be provided to the IEPR team prior to completion of the final Review Report and to the ATRT before review of the final Decision Document. The public review of necessary state or Federal permits will also take place during this period. A formal State and Agency review will occur concurrently with the public review. However, it is anticipated that intensive coordination with these agencies will have occurred concurrent with the planning process. Upon completion of the review period, comments will be consolidated in a

matrix and addressed, if needed. A comment resolution meeting will take place if needed to decide upon the best resolution of comments. A summary of the comments and resolutions will be included in the document. A plan for public participation will be developed early in the study which might identify informal as well as additional formal forums for participation in the study.

7. STUDY TEAMS & PLANNING CENTERS OF EXPERTISE COORDINATION

A. Product Delivery Team.

The PDT is comprised of those individuals directly involved in the development of the decision document. Individual contact information and disciplines are presented in Appendix B. In accordance with the PMP, dated April 2008, it is planned that the non-Federal sponsors will contribute in-kind services for project management; public involvement, coordination and outreach; environmental impact and planning studies; reservoir operations study, hydraulic analysis and report; engineering design analysis; Geotechnical studies & report; economic data collection; real estate activities; and participating in reviews. All in-kind work products will undergo review by the PDT for a determination of adequacy; products will ultimately undergo DQC. Some products will undergo IEPR (described later in this Review Plan).

B. Vertical Team.

The Vertical Team includes District management, District Support Team (DST) and Regional Integration Team (RIT) staff as well as members of the Planning of Community of Practice (PCoP). Specific points of contact for the Vertical Team can be found in Appendix B. This Review Plan will serve as the coordination document to obtain vertical team consensus. Subsequent to PCX approval, the plan will be provided to the vertical team for approval. MSC approval of the plan will indicate vertical team consensus.

C. Planning Center of Expertise (PCX)

The appropriate PCX for this document is the National Flood Risk Management Center of Expertise located at SPD. The PCX for FRM will coordinate with the National Ecosystem Restoration Planning Center of Expertise at MVD, as appropriate. If this study results in Congressional authorization, as expected, the FRM-PCX will also coordinate with the NWW Cost Estimating Center of Expertise. This Review Plan will be submitted to the PCX for FRM Director for review and comment. Since it was determined that this project is high risk, an IEPR will be required. As such, the PCX will be asked to manage the IEPR review. For ATR, the PCX is requested to nominate the ATR team as discussed in paragraph 3.b. above. The approved Review Plan will be posted to the District's public website.

D. Review Plan Points of Contact

The Points of Contact for questions and comments to this Review Plan are as follows:

1. District Point of Contact: Fraser Gensler, Planner, 916-557-6849 or r.fraser.gensler@usace.army.mil
2. MSC Point of Contact: TBD
3. FRM-PCX Point of Contact: Eric Thaut, Program Manager, 415-503-6852 or eric.w.thaut@usace.army.mil

8. APPROVALS

The PDT will carry out the Review Plan as described. The Study Manager will submit the plan to the PDT District Planning Chief for approval. Formal coordination with PCX for FRM will occur through the PDT District Planning Chief.

The Review Plan is a "living document" and shall be updated as needed during the study process. The FRM-PCX shall be provided an electronic copy of any revised approved Review Plan. The PDT shall follow their DST's guidance for processing revised Review Plans for their respective MSCs.

REVIEW PLAN
SUTTER BASIN, CALIFORNIA
FLOOD RISK MANAGEMENT, ECOSYSTEM RESTORATION AND RECREATION
FEASIBILITY STUDY
SACRAMENTO DISTRICT

APPENDIX A
STATEMENT OF AGENCY TECHNICAL REVIEW

COMPLETION OF AGENCY TECHNICAL REVIEW
SUTTER BASIN, CALIFORNIA
FLOOD RISK MANAGEMENT, ECOSYSTEM RESTORATION AND RECREATION
FEASIBILITY STUDY, ENVIRONMENTAL IMPACT
STATEMENT/ENVIRONMENTAL IMPACT REPORT AND APPENDICES

The Sacramento District has completed the project implementation report (feasibility report), environmental impact statement/environmental impact report and appendices of the Sutter basin Feasibility Study. Notice is hereby given that an agency technical review, that is appropriate to the level of risk and complexity inherent in the project, has been conducted as defined in the Review Plan. During the agency technical review, compliance with established policy principles and procedures, utilizing justified and valid assumptions, was verified. This included review of: assumptions, methods, procedures, and material used in analyses; alternatives evaluated; the appropriateness of data used and level obtained; and reasonableness of the result, including whether the product meets the customer's needs consistent with law and existing Corps policy. The ATR was accomplished by an agency team composed of staff from multiple districts. All comments resulting from the ATR have been resolved.

TBD

NAME
Team Leader, Sutter basin
Feasibility Study
Agency Technical Review Team

Date

CERTIFICATION OF AGENCY TECHNICAL REVIEW

A summary of all comments and responses is attached. Significant concerns and the explanation of the resolution are as follows:

(Describe the major technical concerns, possible impact and resolution)

As noted above, all concerns resulting from the independent technical review of the project have been fully resolved.

NAME
Chief, Planning Division

Date

REVIEW PLAN

SUTTER BASIN, CALIFORNIA

FLOOD RISK MANAGEMENT AND ECOSYSTEM RESTORATION

FEASIBILITY STUDY

SACRAMENTO DISTRICT

APPENDIX B

PRODUCT DELIVERY TEAM

Name	Discipline	Phone (916)	Email
Laura Whitney-Tedrick	Project Manager – USACE	557-7455	Laura.A.Whitney-Tedrick@usace.army.mil
Sandra Maxwell	Project Manager – CA DWR	574-0362	smaxwell@water.ca.gov
Fraser Gensler	Study Manager/Planning	557-6849	R.Fraser.Gensler@usace.army.mil
Peter Blodgett	Hydraulic Design and Technical Lead	557-7529	Peter.J.Blodgett@usace.army.mil
William Edgar	Sutter Butte FCA – Exec Dir	392-4909	bille@EandA.org
David Peterson	Sutter Butte FCA – Engineering Consultant	608-2232 X122	dpeterson@pbieng.com
Leslie Huynh	Civil Design	557-7274	Leslie.Huynh@usace.army.mil
Mario Parker	Environmental Specialist – USACE	557-6701	Mario.G.Parker@usace.army.mil
Annalena Bronson	Environmental Specialist – CA DWR	574-0369	annalena@water.ca.gov
Laurine White	Hydrology/Reservoir Operations	557-7133	Laurine.L.White@usace.army.mil
Timi Shimabukuro	Economics	557-5313	Timi.S.Shimabukuro@usace.army.mil
Robert Vrchoticky	Cost Engineering	557-7336	Robert.D.Vrchoticky@usace.army.mil
Laurie Parker	Real Estate/Lands	557-6741	Laurie.S.Parker@usace.army.mil
Dan Bell	Cultural Resources	557-6818	Daniel.A.Bell@usace.army.mil
Erik James	Geotechnical Soils Engineering	557-5259	Erik.W.James@usace.army.mil
Steve Carey	Geology and HTRW	557-6653	Steve.J.Carey@usace.army.mil
Tbd	Public Affairs Office	tbd	tbd

AGENCY TECHNICAL REVIEW TEAM

Name	Discipline	Phone	Email
TBD	ATR Leader/Plan Formulation		
TBD	Civil Design		
TBD	Environmental Impact Analysis		
TBD	Ecosystem Restoration Planning		
TBD	Hydrology/Reservoir Operations		
TBD	Hydraulics		

TBD	Economics		
TBD	Cost Engineering ¹		
TBD	Real Estate/Lands		
TBD	Cultural Resources		
TBD	Geotechnical Soils Engineering		
TBD	Geology, HTRW		

¹The cost engineering team member nomination will be coordinated with the NWW Cost Estimating Center of Expertise as required. That PCX will determine if the cost estimate will need to be reviewed by PCX staff.

INDEPENDENT EXTERNAL PEER REVIEW PANEL

Name	Discipline	Phone	Email
TBD	Hydrology		
TBD	Hydraulic Design		
TBD	Geotechnical Engineering		
TBD	Economics		
TBD	Ecosystem Restoration Planning		
TBD	Environmental Impact Analysis		

VERTICAL TEAM

Name	Discipline	Phone	Email
	District Support Team Lead		
	Regional Integration Team		

PLANNING CENTER OF EXPERTISE FLOOD RISK MANAGEMENT

Name	Discipline	Phone	Email
Eric Thaut ¹	Program Manager, PCX Flood Risk Management	415-503-6852	Eric.W.Thaut@usace.army.mil
David Vigh,	Program Manager, PCX Ecosystem Restoration	601-634-5854	David.A.Vigh@usace.army.mil

¹ Primary PCX is FRM, who will coordinate with PCX for EC as appropriate.

Primary Review Member Discipline / Expertise Descriptions

Review Plan Team representation is required in the disciplines listed below. In general, the review team members will each have a minimum of 10 years experience and education in their respective discipline. A statement of qualifications is required for each discipline prior to acceptance as a review team member and for any subsequent changes thereto.

Hydrology & Hydraulics: Team member will be an expert in the field of urban hydrology & hydraulics, have a thorough understanding of the dynamics of the both open channel flow systems, enclosed systems, application of detention / retention basins, effects of best management practices and low impact development on hydrology, approaches that can benefit water quality, application of levees and flood walls in an urban environment with space constraints, non-structural measures especially as related to multipurpose alternatives including ecosystem restoration, non-structural solutions involving flood warning systems, and non-structural alternatives related to flood proofing. The team member will have an understanding of computer modeling techniques that will be used for this project (HEC-HMS, HEC-RAS, UNET, and TABS). A certified flood plain manager is recommended but not required.

Structural: Team member will have a thorough understanding of non-structural measures, levee, flood wall, and retaining wall design, and structures typically associated with levees (pump stations, gate well structures, utility penetrations, stoplog & sandbag gaps, and other closure structures). A certified professional engineer is recommended though not required.

Mechanical: Team member shall be experienced with civil works levee pump station and closure structure design. Engineering disciplines other than mechanical may be acceptable for review of this area of work subject to meeting the experience requirement stated above.

Electrical: Team member shall be experienced with civil works levee pump station and electrical utilities design.

Geotechnical: Team member will be experienced in levee & floodwall design, post-construction evaluation, and rehabilitation. A certified professional engineer is recommended.

Economics: Team member will be experienced in civil works and related flood risk reduction projects, and have a thorough understanding of HEC-FDA .

Plan Formulation: Team member will be experienced with the civil works process, watershed level projects, current flood damage reduction planning and policy guidance, and have experience in plan formulation for multipurpose projects, specifically integrating measures for flood risk management, ecosystem restoration, recreation, watersheds, and planning in a collaborative environment.

Environmental: Team member will be experienced in NEPA/CEQA process and analysis, and have a biological or environmental background that is familiar with the project area and ecosystem restoration.

Cultural Resources: Team member will be experienced in cultural resources and tribal issues, regulations, and laws.

Landscape Architect: Team member will be experienced in landscape architecture, ecosystem

restoration, habitat mitigation, recreation, and facility design.

Civil / Site / Utilities / Relocations: This discipline may require a dedicated team member, or may be satisfied by structural or geotechnical reviewer, depending on individual qualifications. Team member will have experience in utility relocations, positive closure requirements and internal drainage for levee construction, and application of non-structural flood damage reduction, specifically flood proofing. A certified professional engineer is suggested.

Cost Estimating: Team member will be familiar with cost estimating for similar civil works projects using MCACES. Team member will be a Certified Cost Technician, Certified Cost Consultant, or Certified Cost Engineer. A separate process and coordination is also required through the Walla Walla District DX for cost engineering.

Real Estate: Team member will be experienced in federal civil work real estate laws, policies and guidance. Members shall have experience working with respective sponsor real estate issues.

Other disciplines/functions involved in the project included as needed with similar general experience and educational requirements.

REVIEW PLAN

SUTTER BASIN, CALIFORNIA

FLOOD RISK MANAGEMENT, ECOSYSTEM RESTORATION AND RECREATION

FEASIBILITY STUDY

SACRAMENTO DISTRICT

APPENDIX C

ACRONYMS AND ABBREVIATIONS

<u>Term</u>	<u>Definition</u>	<u>Term</u>	<u>Definition</u>
ASA(CW)	Assistant Secretary of the Army for Civil Works	OMRR&R	Operation, Maintenance, Repair, Replacement and Rehabilitation
ATR	Agency Technical Review	OEO	Outside Eligible Organization
CA DWR	California Department of Water Resources	PCX	Planning Center of Expertise
CEQA	California Environmental Quality Act	PDT	Product Delivery Team
CESPD	Corps of Engineers, South Pacific Division	PAC	Post Authorization Change
DQC	District Quality Control	PPA	Project Partnership Agreement
DX	Directory of Expertise	PL	Public Law
EA	Environmental Assessment	QMP	Quality Management Plan
EC	Engineering Circular	QA	Quality Assurance
EDR	Engineering Document Report	QC	Quality Control
EIR	Environmental Impact Report	RD	Reclamation District
EIS	Environmental Impact Statement	RED	Regional Economic Development
EO	Executive Order	USACE	U.S. Army Corps of Engineers
ER	Ecosystem Restoration	WRCB	Water Resources Control Board
FDR	Flood Damage Reduction	WRDA	Water Resources Development Act
FEMA	Federal Emergency Management Agency		
FRM	Flood Risk Management		
GRR	General Reevaluation Report		
IEPR	Independent External Peer Review		
ITR	Independent Technical Review		
MSC	Major Subordinate Command		
NED	National Economic Development		
NER	National Ecosystem Restoration		
NEPA	National Environmental Policy Act		
O&M	Operation and maintenance		
OMB	Office and Management and Budget		

10 March 2009

MEMORANDUM FOR Fraser Gensler, Sacramento District

SUBJECT: Sutter Basin, California, Flood Risk Management, Ecosystem Restoration and Recreation Feasibility Study Review Plan

1. The Flood Risk Management Planning Center of Expertise (FRM-PCX) has reviewed the Review Plan (RP) for the subject study and concurs that the RP satisfies peer review policy requirements outlined in Engineering Circular (EC) 1105-2-410 Review of Decision Documents, dated 22 August 2008.
2. The review was performed by Brian Maestri, New Orleans District. The RP checklist documenting the review is attached.
3. The FRM-PCX recommends the RP for approval by the MSC Commander. Upon approval of the RP, please provide a copy of the approved RP, a copy of the MSC Commander approval memorandum, and the link to where the RP is posted on the District website to Eric Thaut, Program Manager for the FRM-PCX (eric.w.thaut@usace.army.mil) and Miki Fujitsubo, lead Regional Technical Specialist for the FRM-PCX (miki.fujitsubo@usace.army.mil).
4. Thank you for the opportunity to assist in the preparation of the RP. Please coordinate the Agency Technical Review (ATR), Independent External Peer Review (IEPR), and Model Certification efforts outlined in the RP with me as needed.



Encl

Eric Thaut
Program Manager, FRM-PCX

Review Plan Checklist

Date: March 2009

Originating District: Sacramento

Project/Study Title: Sutter Basin, CA Feasibility Study

District POC: Fraser Gensler, 916-557-6849

FRM-PCX Reviewer: Brian Maestri, MVN

Any evaluation boxes checked 'No' indicate the RP may not comply with ER 1105-2-410 (22 Aug 2008) and should be explained. Additional coordination and issue resolution may be required prior to MSC approval of the Review Plan.

REQUIREMENT	REFERENCE	EVALUATION
1. Is the Review Plan (RP) a stand alone document?	EC 1105-2-410, Para 8a	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
a. Does it include a cover page identifying it as a RP and listing the project title, originating district or office, and date of the plan? b. Does it include a table of contents? c. Is the purpose of the RP clearly stated and EC 1105-2-410 referenced? d. Does it reference the Project Management Plan (PMP) of which the RP is a component? e. Does it succinctly describe the three levels of peer review: District Quality Control (DQC), Agency Technical Review (ATR), and Independent Technical Peer Review (IEPR)? f. Does it clearly state that DQC and ATR are required for all decision documents and that IEPR may be required? g. Does it include a paragraph stating the title, subject, and purpose of the decision document to be reviewed? h. Does it list the names and disciplines of the Project Delivery Team (PDT)?*	EC 1105-2-410, Appendix B, Para 4a	a. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> b. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> c. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> d. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> e. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> f. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> g. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> h. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Comments: Reviewer: Checklist Requirements 1a through 1h were sufficiently addressed and comply with ER 1105-2-410. Names and disciplines of PDT are included in Appendix B. Add "-" to EC 1105-2-410 on page 3 of RP in Section 1B (7). Response: RP revised as noted.
<i>*Note: It is highly recommended to put all team member names and contact information in an appendix for easy updating as team members change or the RP is updated.</i>		

2. Is the RP detailed enough to assess the necessary level and focus of peer review?	EC 1105-2-410, Appendix B, Para 3a	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
<p>a. Does it indicate which parts of the study will likely be challenging?</p> <p>b. Does it provide a preliminary assessment of where the project risks are likely to occur and what the magnitude of those risks might be?</p> <p>c. Does it indicate if the project/study will include an environmental impact statement (EIS)?</p> <p><i>Is an EIS included? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></i> <i>If yes, IEPR is required.</i></p> <p>d. Does it address if the project report is likely to contain influential scientific information or be a highly influential scientific assessment?</p> <p><i>Is it likely? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></i> <i>If yes, IEPR is required.</i></p> <p>e. Does it address if the project is likely to have significant economic, environmental, and social affects to the nation, such as (but not limited to):</p> <ul style="list-style-type: none"> • more than negligible adverse impacts on scarce or unique cultural, historic, or tribal resources? • substantial adverse impacts on fish and wildlife species or their habitat, prior to implementation of mitigation? • more than negligible adverse impact on species listed as endangered or threatened, or to the designated critical habitat of such species, under the Endangered Species Act, prior to implementation of mitigation? <p><i>Is it likely? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></i> <i>If yes, IEPR is required.</i></p>	<p>EC 1105-2-410, Appendix B, Para 3a</p> <p>EC 1105-2-410, Appendix B, Para 3a</p> <p>EC 1105-2-410 Para 7c & 8f</p> <p>EC 1105-2-410, Appendix B, Para 4b</p> <p>EC 1105-2-410, Para 6c</p> <p>EC 1105-2-410 Para 8f</p> <p>EC 1105-2-410 Para 8f</p> <p>EC 1105-2-410 Para 8f</p>	<p>a. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>b. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>c. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>d. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>e. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>

		appear to require listing individual requirements of published policy and guidelines in RP's.
3. Does the RP define the appropriate level of peer review for the project/study?	EC 1105-2-410, Para 8a	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
<p>a. Does it state that DQC will be managed by the home district in accordance with the Major Subordinate Command (MSC) and district Quality Management Plans?</p> <p>b. Does it state that ATR will be conducted or managed by the lead PCX?</p> <p>c. Does it state whether IEPR will be performed?</p> <p><i>Will IEPR be performed? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></i></p> <p>d. Does it provide a defensible rationale for the decision on IEPR?</p> <p>e. Does it state that IEPR will be managed by an Outside Eligible Organization, external to the Corps of Engineers?</p>	<p>EC 1105-2-410, Para 7a</p> <p>EC 1105-2-410, Appendix D, Para 3a</p> <p>EC 1105-2-410, Appendix B, Para 4b</p> <p>EC 1105-2-410, Para 7c</p>	<p>a. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>b. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>c. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>d. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>e. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> n/a <input type="checkbox"/></p> <p>Comments: Reviewer: Checklist Requirements 3a through 3e were sufficiently addressed. RP states that IEPR will be performed and the IEPR panel will be selected and managed by the OEO as indicated on page 2 of the RP. Response: none required.</p>
4. Does the RP explain how ATR will be accomplished?	EC 1105-2-410, Appendix B, Para 4l	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
<p>a. Does it identify the anticipated number of reviewers?</p> <p>b. Does it provide a succinct description of the primary disciplines or expertise needed for the review?</p> <p>c. Does it indicate that ATR team members will be from outside the home district?</p> <p>d. Does it indicate that the ATR team leader will be from outside the home MSC?</p> <p>e. Does the RP state that the lead PCX is</p>	<p>EC 1105-2-410, Appendix B, Para 4f</p> <p>EC 1105-2-410, Appendix B, Para 4g</p> <p>EC 1105-2-410, Para 7b</p> <p>EC 1105-2-410, Para 7b</p> <p>EC 1105-2-410,</p>	<p>a. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>b. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>c. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>d. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>e. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>f. Yes <input type="checkbox"/> No <input type="checkbox"/> n/a <input checked="" type="checkbox"/></p> <p>Comments: Reviewer: Checklist Requirements 4a through 4f were</p>

<p>responsible for identifying the ATR team members and indicate if candidates will be nominated by the home district/MSD?</p> <p>f. If the reviewers are listed by name, does the RP describe the qualifications and years of relevant experience of the ATR team members?*</p> <p><i>*Note: It is highly recommended to put all team member names and contact information in an appendix for easy updating as team members change or the RP is updated.</i></p>	<p>Appendix B, Para 4k(1)</p> <p>EC 1105-2-410, Appendix B, Para 4k(1)</p>	<p>sufficiently addressed. in the RP. Appendix B provides a detailed description of the primary disciplines and expertise needed and page 6 has the anticipated number of reviewers, 12, for ATR. The member names should be incorporated into the RP when available. Response: none required.</p>
<p>5. Does the RP explain how IEPR will be accomplished?</p>	<p>EC 1105-2-410, Appendix B, Para 4k & Appendix D</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> n/a <input type="checkbox"/></p>
<p>a. Does it identify the anticipated number of reviewers?</p> <p>b. Does it provide a succinct description of the primary disciplines or expertise needed for the review?</p> <p>c. Does it indicate that the IEPR reviewers will be selected by an Outside Eligible Organization and if candidates will be nominated by the Corps of Engineers?</p> <p>d. Does it indicate the IEPR will address all the underlying planning, safety assurance, engineering, economic, and environmental analyses, not just one aspect of the project?</p>	<p>EC 1105-2-410, Appendix B, Para 4f</p> <p>EC 1105-2-410, Appendix B, Para 4g</p> <p>EC 1105-2-410, Appendix B, Para 4k(1) & Appendix D, Para 2a</p> <p>EC 1105-2-410, Para 7c</p>	<p>a. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>b. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>c. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>d. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>Comments: a. listed in Appendix B Reviewer: Please add the number of anticipated reviewers to the RP based on number of disciplines shown in Appendix B for IEPR. Checklist Requirements 5a through 5d are sufficiently addressed. Response: none required.</p>
<p>6. Does the RP address peer review of sponsor in-kind contributions?</p>		<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>
<p>a. Does the RP list the expected in-kind contributions to be provided by the sponsor?</p> <p>b. Does it explain how peer review will be accomplished for those in-kind contributions?</p>	<p>EC 1105-2-410, Appendix B, Para 4j</p>	<p>a. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>b. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> n/a <input type="checkbox"/></p> <p>Comments: Reviewer: Checklist requirements 6a and 6b are</p>

		<p>addressed on page 1 and 7 of the RP. Are there expected in-kind contributions that could be listed in the RP, per checklist requirement 6a?</p> <p>Response: In-kind contributions are listed in paragraph 7.A. Product Delivery Team on page 14.</p>
7. Does the RP address how the peer review will be documented?		Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
<p>a. Does the RP address the requirement to document ATR and IEPR comments using DrChecks?</p> <p>b. Does the RP explain how the IEPR will be documented in a Review Report?</p> <p>c. Does the RP document how written responses to the IEPR Review Report will be prepared?</p> <p>d. Does the RP detail how the district/PCX will disseminate the final IEPR Review Report, USACE response, and all other materials related to the IEPR on the internet and include them in the applicable decision document?</p>	<p>EC 1105-2-410, Para 8g(1)</p> <p>EC1105-2-410, Appendix B, Para 4k(13)(b)</p> <p>EC 1105-2-410, Appendix B, Para 4l</p> <p>EC 1105-2-410, Para 8g(2) & Appendix B, Para 4l</p>	<p>a. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>b. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> n/a <input type="checkbox"/></p> <p>c. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> n/a <input type="checkbox"/></p> <p>d. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> n/a <input type="checkbox"/></p> <p>Comments: Reviewer: Checklist Requirements 7a through 7d, documentation of the peer review, are sufficiently addressed in the ATR and IEPR sections of the RP. Response: none required.</p>
8. Does the RP address Policy Compliance and Legal Review?	EC 1105-2-410, Para 7d	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>Comments: Reviewer: Checklist Requirements for Policy Compliance and Legal Review are sufficiently addressed in the Section 1B(4) of the RP on pages 2 and 3. Response: none required.</p>
9. Does the RP present the tasks, timing and sequence (including deferrals), and costs of reviews?	EC 1105-2-410, Appendix B, Para 4c & Appendix C,	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

	Para 3d	
<p>a. Does it provide a schedule for ATR including review of the Feasibility Scoping Meeting (FSM) materials, Alternative Formulation Briefing (AFB) materials, draft report, and final report?</p> <p>b. Does it include interim ATR reviews for key technical products?</p> <p>c. Does it present the timing and sequencing for IEPR?</p> <p>d. Does it include cost estimates for the peer reviews?</p>	<p>EC 1105-2-410, Appendix C, Para 3g</p> <p>EC 1105-2-410, Appendix C, Para 3g</p>	<p>a. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>b. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>c. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> n/a <input type="checkbox"/></p> <p>d. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>Comments: Reviewer: Checklist Requirements 9a through 9d are sufficiently addressed in the RP. The estimated cost range for ATR and IEPR are included on pages 7 and 11 of the RP. A Schedule Timeline table is shown on page 8 of the RP. Response: none required.</p>
<p>10. Does the RP indicate the study will address Safety Assurance factors (required for Flood Risk Management and Coastal Storm Damage Reduction projects)?</p> <p>Factors to be considered include:</p> <ul style="list-style-type: none"> • Where failure leads to significant threat to human life • Novel methods\complexity\ precedent-setting models\policy changing conclusions • Innovative materials or techniques • Design lacks redundancy, resiliency of robustness • Unique construction sequence or acquisition plans • Reduced\overlapping design construction schedule 	<p>EC 1105-2-410, Para 2 & Appendix D, Para 1c</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> n/a <input type="checkbox"/></p> <p>Comments: Reviewer: Checklist Requirements for Safety Assurance factors are sufficiently addressed on in Section 4 on page 9. The consequence of a failure is high in terms of threat to life and there is the potential for failure. Response: non required.</p>
<p>11. Does the RP address model certification requirements?</p>	<p>EC 1105-2-407</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>
<p>a. Does it list the models and data anticipated to be used in developing recommendations (including mitigation models)?</p> <p>b. Does it indicate the certification/approval</p>	<p>EC 1105-2-410, Appendix B, Para 4i</p>	<p>a. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>b. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>

<p>status of those models and if certification or approval of any model(s) will be needed?</p> <p>c. If needed, does the RP propose the appropriate level of certification/approval for the model(s) and how it will be accomplished?</p>		<p>c. Yes <input type="checkbox"/> No <input type="checkbox"/> n/a <input checked="" type="checkbox"/></p> <p>Comments: Reviewer: Checklist Requirements 11a through 11c are addressed in Section 5 of the RP. Recommend adding IMPLAN or similar model to be used for RED and statement that Other Social Effects (OSE) will be addressed to meet Corps regulations. Response: analytical tools for RED impacts have not been determined. Please see the response to Checklist Requirement 2 regarding the inclusion of the specific requirements of other regulations in the Review Plan.</p>
<p>12. Does the RP address opportunities for public participation?</p>		<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>
<p>a. Does it indicate how and when there will be opportunities for public comment on the decision document?</p> <p>b. Does it indicate when significant and relevant public comments will be provided to reviewers before they conduct their review?</p> <p>c. Does it address whether the public, including scientific or professional societies, will be asked to nominate potential external peer reviewers?</p> <p>d. Does the RP list points of contact at the home district and the lead PCX for inquiries about the RP?</p>	<p>EC 1105-2-410, Appendix B, Para 4d</p> <p>EC 1105-2-410, Appendix B, Para 4e</p> <p>EC 1105-2-410, Appendix B, Para 4h</p> <p>EC 1105-2-410, Appendix B, Para 4a</p>	<p>a. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>b. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>c. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>d. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>Comments: Reviewer: Section 6 Public and Agency Review addresses opportunities for public participation evaluation requirements 12a through 12d. The point of contact for home district and PCX are included in Section 7D. Recommend adding Eric Thauth as FRM-PCX POC on page 14 Section 7D 3. Response: RP revised</p>

		as noted.
13. Does the RP address coordination with the appropriate Planning Centers of Expertise?	EC 1105-2-410, Para 8a	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
<p>a. Does it state if the project is single or multi-purpose? Single <input type="checkbox"/> Multi <input checked="" type="checkbox"/></p> <p>b. Does it identify the lead PCX for peer review? Lead PCX: FRM</p> <p>c. If multi-purpose, has the lead PCX coordinated the review of the RP with the other PCXs as appropriate?</p>	EC 1105-2-410, Appendix D, Para 3c	<p>a. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>b. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>c. Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> n/a <input type="checkbox"/></p> <p>Comments: c. RP will be reviewed by other PCX's in future. Reviewer: Coordination with appropriate PCXs is addressed on pages 1 and 14. The primary purpose is FRM and the secondary purpose is ER. Add to Checklist Box 13a a Multi purpose check. Response: checklist revised as noted.</p>
14. Does the RP address coordination with the Cost Engineering Directory of Expertise (DX) in Walla Walla District for ATR of cost estimates, construction schedules and contingencies for all documents requiring Congressional authorization?	EC 1105-2-410, Appendix D, Para 3	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
<p>a. Does it state if the decision document will require Congressional authorization?</p> <p>b. If Congressional authorization is required, does the state that coordination will occur with the Cost Engineering DX?</p>		<p>a. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>b. Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> n/a <input type="checkbox"/></p> <p>Comments: Reviewer: Checklist Requirements 14a and 14b are addressed in Primary Disciplines and Expertis Section of the the RP in Appendix B. Add statement to RP tying Congressional authorization requiring Cost Engineering DX , per Checklist Requirement 14b. Response: the RP has been revised as noted</p>

		(Section 7.C. on page 14).
<p>13. Other Considerations: This checklist highlights the minimum requirements for an RP based on EC 1105-2-410. Additional factors to consider in preparation of the RP include, but may not be limited to:</p> <ul style="list-style-type: none"> a. Is a request from a State Governor or the head of a Federal or state agency to conduct IEPR likely? b. Is the home district expecting to submit a waiver to exclude the project study from IEPR? c. Are there additional Peer Review requirements specific to the home MSC or district (as described in the Quality Management Plan for the MSC or district)? d. Are there additional Peer Review needs unique to the project study? 	<p>EC 1105-2-410, Appendix D, Para 1b</p> <p>EC 1105-2-410, Appendix D, Para 1d</p>	<p>Comments:</p> <ul style="list-style-type: none"> a. No b. No c. No d. No
<p>Additional Comments: Excellent RP that is concise and acknowledges the requirements of EC 1105-2-410. Recommended actions are to add a statement in the RP that the Regional Economic Development (RED) and Other Social Effects (OSE) project accounts will be addressed along with the NED and EQ accounts. Also address if the HEC-FDA program will be used to analyze nonstructural measures? If so, add to Model Certification Section. Coordination with the FRM PCX and secondary PCX is still needed to add ATR and IEPR member names to the RP when available.</p> <p>Response: HEC-FDA will be used for evaluating both structural and non-structural FRM measures and the RP has been revised to reflect this. While addressing the NED, RED, OSE and EQ accounts are a critical and required part of the plan formulation process, do not concur with specifying other statutory and regulatory requirements that are not, in themselves, required by the review plan process.</p> <p>Closing comments: Concur, with response regarding RED and OSE as long as regional economic impacts and other social effects are not expected to be critical parts of the analysis that are to be reviewed. The comments were sufficiently addressed and the Review Plan is in compliance with EC 1105-2-410. Coordination with the FRM PCX and secondary PCX is still needed to add ATR and IEPR member names to the RP when available.</p>		

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Approval of RP(s) rests with Division Commanders, but management and coordination with the appropriate Planning Center of Expertise. The Flood Risk Management PCX has developed a review checklist for its RP coordination and management responsibilities. Below is a regional supplemental checklist identifying the regional quality management requirements from CESPD's QMP, Appendix C, Planning.

Following are review process principles from EC 1105-2-410, Review of Decision Documents:

- Reviews significantly improve product quality
- Peer review is concurrent with product development
- Agency technical reviews by another district will be performed on all products
- ATR teams should be chaired by another Division
- Civil Works policy reviews must be consistent

CHECKLIST

1. Is there a Technical Review Strategy Session identified early in the study process? (See Appendix C paragraph 8.2,)

Response: this is an on-going study that predates TRSS requirement and it was not include in the PMP.

MSC Response: The TRSS applies to on-going studies as it forms the basis of Quality Control. Per requirements of CESPD R 1110-1-8, a TRSS must be conducted for all ongoing projects.

SPK response: RP has been revised iaw MSC Response – see Sect 3.E.(2)

2. Are there any potential Continuing Authority Program (CAP) “spinoffs” identified, and the appropriate QCP identified for them?

Response: No potential CAP projects have been identified to date.

3. Are the review costs identified? for District Quality Control (DCQ), ATR, and Independent External Technical Review (IETR)?

Response: ATR & IEPR costs are identified in the RP, DQC costs are not.

MSC Response: The DCQ cost must be identified

SPK response: RP has been revised iaw MSC Response – see Sect 1.B.(1)

4. Does the RP identify seamless technical review (8.4) including supervisory oversight of the technical products? (8.5)

Response: Yes

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5. Does the RP identify the recommended review comment content and structure? (8.5.4)

Response: Yes for the ATR and IEPR.

6. The RP should encourage face-to-face resolution of issues between PDT and reviewers. (8.5.5)

Response: This will be done where possible. For the IEPR this does not seem reasonable.

MSC Response: A mechanism for resolution of issues such as use of teleconference or VTC should be identified and used to resolve issues between PDT and IEPR reviewers.

SPK response: RP has been revised iaw MSC Response – see Sect 4.B.(6)

7. And if issues remain, does the RP must identify an appropriate dispute resolution process? (8.6)

Response: Yes for the ATR.

MSC Response: The Review Plan does not clearly address the process for resolution of issues at the IEPR stage.

SPK response: RP has been revised iaw MSC Response – see Sect 4.B.

8. The RP must require documentation of all the significant decision and leave a clear audit trail. (8.5.6)

Response: It does for review related issues. Issues not related to review are not discussed in the Review Plan.

9. Does the RP identify all the requirements for technical certifications? (8.5.7)

Response: Yes.

10. Does the RP identify the requirement that without-project hydrology is certified at the Feasibility Scoping Meeting? (8.5.8)

Response: Yes.

11. Does the RP fully address products developed by contractors? (8.10)

Response: Yes.

12. Is the need for a VE study identified and incorporated into the review process subsequent to the feasibility scoping meeting? (8.11)

Response: The VE study requirement is discussed in the PMP.

MSC Response: The need for the VE study should be addressed in the Review Plan as well as the PMP.

SPK response: RP has been revised iaw MSC Response – see Sect 3.E.(3)

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13. Does the RP include a Feasibility Alternative Review Milestone, where CESPD buy-in to the recommended plan is obtained. (12.1)

Response: Yes.

14. The RP should identify the final public meeting milestone. (See Appendix C, Enclosure 1, SPD Milestones)

Response: The final public meeting milestone is discussed in the PMP.

MSC Response: The final public meeting milestone should be identified in the Review Plan.

SPK response: RP has been revised iaw MSC Response – see Sect 6

15. Does the RP identify the report approval process and if there is a delegated approval authority?

Response: The report approval process is discussed in the PMP.

Additional Comment:

The review Plan should include the process to receive comments from the public for future revisions.

SPK response: RP has been revised iaw MSC Response – see Sect 1.B.(7)

The PDT should also include a team member from PAO for purposes of public involvement/public decision making process for an effective planning process/project selection.

SPK response: RP has been revised to include a PAO representative on the PDT (see Appendix B)